

November 15, 2022

RFP Title: UNM Job Order Contracting (JOC)

RFP-2379-23

Hausermann Mechanical LLC has been in operation since 2003 and has been organized as an LLC since 2012. Hausermann Mechanical LLC has been existing under the Law of the State of New Mexico creating, innovating and improving Higher Education, Health and Historical facilities both public and private throughout New Mexico for future customers' needs and expectations through willingness to go above and beyond for the success of a project. Hausermann Mechanical LLC provides a high level of communication between Owner, Architect, Subcontractors and future customers by developing long lasting relationships.

Hausermann Mechanical LLC has worked with UNMH, UNM, CNM, St. Thomas Aquinas School and many local community-based churches for over 15+ years. We have provided construction services on active medical offices, rooms and campuses and are able to meet the extremely tight schedules required to finish the project. We have set the standard for healthcare facility remodels in tackling the toughest construction challenges in the different healthcare environments while providing uninterrupted patient, care, staff and visitor safety. Hausermann Mechanical LLC does background checks on each employee due to many of our projects having a patient sensitive nature. We believe this experience allows us to be the perfect candidate for this contract.

Due to the nature of the projects we have worked on with UNMH, UNM and local businesses, we have gained valuable knowledge of their typical building standards, policies procedures and requirements inherent in all aspects of both minor and major construction projects. We have also completed numerous projects within UNM, the University Hospital and outlying buildings and employees are required to complete Environment of Care certification. We have worked as a subcontractor with many local General Contractors and are able to meet the various facility renovations as required.

In order to maintain the respect and work ethic of all university of New Mexico standards Hausermann Mechanical will work diligently with UNM coordinators, site project managers, and other supervisors to make sure all schedules will work with students and residents in project area. Hausermann Mechanical will establish a relationship with all University of New Mexico residents to ensure a timely and cost effective completion of the project.

All projects managed by Hausermann Mechanical will provide the necessary precautions to make the project the safest based on the University of New Mexico's expectations and all state mandated safety measures.

All projects will take the cautionary measures to keep all students, faculty, pedestrians, employees, and building residents safe and unharmed from any work related debris that may accumulate in the work site areas that Hausermann Mechanical will be administrating. All site constraints will be given notification prior, during and after projects have been started and completed. The site constraints will consist of building closers, limited space usage, and an array of equipment usage by Hausermann Mechanical. All equipment will have caution tape and other redirected notification in order to keep

traffic flowing smoothly. All traffic difficulties will be designed so it will not interfere with public safety. Traffic will have safety barriers in order to divert traffic to the appropriate safe areas around University of New Mexico Campus.

Subcontractor management plan

This subcontractor management plan outlines the relationship between Hausermann mechanical and our subcontractors.

We verify each of the sub-contractor's qualifications we work with are held to the same standard and protocol as Hausermann Mechanical LLC.

Subcontractors are required to have similar or greater insurance and bond availability as Hausermann Mechanical, LLC.

The approach to managing the subcontractors is based on the following guideline principles:

Affective channels of communications will be clearly defined and established.

A project in house meeting will be established to effectively support the needs of the subcontractors.

A statement of work will be developed jointly by the team with each subcontractor taking responsibility for the deliverables and services outlined in its areas of responsibility, each subcontractor will have the services it is responsible for providing, clearly identified and described in the statement of work.

Constraints imposed on the subcontractor will be clearly identified in the statement of work including schedule and budget.

Each subcontractor will have a legally binding written contract that defines the terms and conditions for this proposal.

10% or less of a project will be subcontracted.

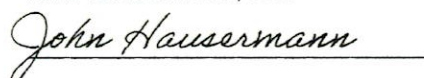
List of subcontractors:

- Energy balance and integration
- Merit Insulation De La Pena
- Test and Balance
- Quality Insulation

Hausermann Mechanical LLC has a reputation throughout New Mexico of managing and flexibility on each project ensuring faster and safer executions. Hausermann Mechanical LLC rises to the challenges and precise needs of our customers bringing expertise and resources to solve them without sacrificing quality and meet budget requirements. We know how to tackle the unique.

Best Regards,

John Hausermann, CEO

A handwritten signature in cursive script that reads "John Hausermann". The signature is written in black ink and is positioned above a horizontal line.

Hausermann Mechanical, LLC.
Lic. # 383125
General Management Plan

Upon selection, of the team, hold a project kickoff meeting with all stakeholders to establish our information management system and conflict resolution approach. A fundamental strength of this project will be to achieve total integration of the team throughout the entire project.

1. Preconstruction Services

- Develop detailed construction schedules, which long lead equipment.
- Conduct constructability reviews to ensure major systems are arranged in such a way to permit, logical, timely instruction.
- Conduct a thorough evaluation of the scope of work to identify potential value engineering opportunities and schedule acceleration opportunities that could be implemented for this project.
- Prepare cost savings of value engineering efforts and schedule acceleration.
- Prepare project manuals and guidelines to establish procedures to be followed during the project.

2. Design Phase

The design phase must include the following:

- Regular project team constructability reviews to provide input on material selection and availability, construction details, schedule development, and cost control.
- Maximize sustainable and maintainable design solutions.
- Aggressively manage the review process to achieve the project schedule.

As each design package is completed and construction documents are issued, the design team will stay focused on completing the subsequent packages along with working side by side with the construction team to proactively resolve any construction issues.

3. Procurement

- Segment scope of work into separate and distinctive packages.
- Issue documents to ensure subcontractors and supplies meet State requirements for construction.
- Review all equipment submittals to ensure compliance with the Owners project requirements.

4. Construction Management

As the team enters the construction phase its primary tasks are:

- Manage site safety for all participants.
- Actively manage the logistics and infrastructure needs of the project and support the trades in the completion of work.
- Manage the work to ensure quality and compliance with the design
- Manage and control the budget and scope to prevent cost overruns.
- Define the sequence of construction activities in a master schedule and monitor schedule progress with weekly meetings of the subcontractors. Take corrective action when schedule slips occur.
- Manage construction documents.

Hausermann Mechanical, LLC.

Lic. # 383125

General Management Plan

The project manager manages overall efforts in the field. He is assisted by the superintendent, safety manger, and quality/project controls manager with responsibility for daily management of safety, schedule, document control, and quality on the project.

The major components of the control system are:

- **Document Control:** Control the flow and recording of information through a central document control function. The purpose of the document control group is to manage and administer the distribution and flow of all written project communications.
- **The Control Estimate,** which segments project scope into controllable work items, establishes quantities and associated costs, and time-phased plan for General Conditions.
- **An integrated Cost Accounting system** to provide weekly reports measuring degrees of completion and forecasting future costs by item, as well as quantifying scope changes and their accompanying budget impact.
- **Resource Loaded Project Schedule:** The project schedule is a graphical representation of a series of related activities to complete the project. The purpose of a schedule is to accurately procure the work, define resources, and assist management flow and sequence of work, and to demonstrate to the project team the progress of the project. The schedule must be accurate and simple enough to present a clear picture of progress and efficiency of resources. Reviews and updates the schedule weekly and develops recovery plans for any delayed progress. The resource loaded schedule becomes the basis for project invoicing.

Finally, **system startup and project closeout** are essential elements of the project that we will address at start of construction.

Systems startup and commissioning begins the first day of the project. The team will discuss and adopt means and methods to insure easy and complete startup of the systems. The program will include the following:

- Quality control plan for startup
- Training on equipment for owner staff, along with indexed Operations and Maintenance manuals.
- Documentation of systems installations and operations.
- System testing, include start-up, Quality items such as appearance, accessibility, and cleanliness.
- Performance
- Reliability

Project closeout will include a final inspection schedule, preparation and issuance of final paperwork to subcontractors, and transfer of care, custody and control to owner in the date established in the initial contract.

5. Quality Management

Hausermann Mechanical, LLC. 3832 Inca St. NE, Albuquerque, NM 87111-3620

505-459-5730 ph.

jhausermann@comcast.net

www.hausermannmechanical.com

Hausermann Mechanical, LLC.

Lic. # 383125

General Management Plan

Once awarded the contract a quality assurance program will be developed it will include:

- Teamwork and clear channels of communication with in the projects leadership team.

6. Construction Safety

Maintaining a safe work environment is our highest priority. As an essential part of daily planning, potentially hazardous activities should be identifying for each crew on the site, and discuss and implement the safest methodologies to perform work before it is commenced. The interactive planning process is effective in identifying the safest way to complete a task and in making certain no one's safety is compromised during the work itself. The site Superintendent is a safety specialist and should be onsite during the entire project. The Safety Manager should do regular site visits/audits.

7. Change Orders

Establish processes for owner initiated change order as follows:

- Define in writing the scope of the work and the trades it will impact.
- Issue a scope package, including drawings when required, to the affected subs.
- Set a date certain for responsive pricing by the subs.
- Develop an in-house estimate in order to determine the probable value.
- Receive and review the subs estimates; negotiate those estimates that are not with the range of our control estimate, and submit a proposed change order to Owner. The submittal will include scope definitions, an estimate, and a synopsis of the subcontractor's responses.
- Negotiate the change order and proceed to authorize the work after a contract amendment is approved by Owner.

8. Teaming with Subcontractors

A key element in any project is the subcontractor base that will actually put the work in place. Working as a team along with Contractors is the only way to successfully complete the project on time, with-in budget and incident and injury free.

9. Value Engineering

At Hausermann Mechanical, LLC we realize the positive impact that value engineering can have on a project. We have had many successful projects in the past with this process.

10. LEED Capabilities

We pride ourselves in taking part in the Conservation Effort. We research and provide alternative products to increase energy efficiency and water conservation on all our projects. Hausermann Mechanical, LLC is committed to the construction of buildings that are environmentally responsible, sustainable and healthy places to live and work.

Appendix B – Contractor's Statement of Qualification

1. ORGANIZATION

Name: Hausermann Mechanical, LLC

Address:

Principal Office: 3100 Richmond Dr. NE Albuquerque, NM 87107

Venture
 Corporation Partnership Sole Proprietorship Joint
 Other

- a. How many years has your organization been in business as a contractor? 19 years
- b. How many years has your organization been in business under its present business name?
10 years

c. Under what other or former names has your organization operated? _____
Hausermann Mechanical, Hausermann Plumbing, Heating and A/C

d. Department of Work Force Solutions Contracting Registration # 03052720140812

Effective Dates: 07/21/2022 to 07/21/2024

e. Submit FEIN and Dunn & Bradstreet report.
45-5605139

f. Describe any present or past litigation, bankruptcy or reorganization involving supplier. N/A

- g. Felony Conviction Notice: Indicate if the supplier
- is a publicly held corporation and this reporting requirement is not applicable;
 - is not owned or operated by anyone who has been convicted of a felony; or
 - is owned or operated by and individual(s) who has been convicted of a felony and provide the names and convictions.

N/A

h. Describe any debarment or suspension actions taken against supplier
N/A

2. LICENSING

- a. Name of license holder (or qualifying party) exactly as on file with the State of New Mexico Construction Industries Division:
John Hausermann

b. License Classification: MM-98 License Code: _____

c. License Number: 383125

d. Issue Date: _____ Expiration Date: 08/31/2023

e. Is the firm's contractor's license free of ever being suspended or revoked by the CID or by the appropriate licensing agency in any other state?
 Yes [] No (attach explanation)

f. Does your firm hold all applicable business licenses required by state and local law?
▪ License Number: FA0070317 Jurisdiction: City of Albuquerque
Name of License Holder, exactly as it appears on file with jurisdictional authorities.
John F Hausermann/ Hausermann Mechanical LLC
Issue Date: 04/15/2022 Expiration Date: 04/14/2023

▪ License Number: ZBL-60536 Jurisdiction: Bernalillo County
Name of License Holder, exactly as it appears on file with jurisdictional authorities.
John F Hausermann/ Hausermann Mechanical LLC
Issue Date: 08/18/2022 Expiration Date: 08/18/2023

▪ License Number: 22-00013648 Jurisdiction: Rio Rancho
Name of License Holder, exactly as it appears on file with jurisdictional authorities.
John Hausermann/ Hausermann Mechanical LLC
Issue Date: 08/02/2022 Expiration Date: 08/31/2023

g. Is your firm registered with the State of New Mexico's Purchasing Department with a Resident Preference Number? Yes [] No
Resident Preference Number: L0081333168 Issue Date: May 2019
Name of number holder, exactly as it appears on file with State Purchasing.
Hausermann Mechanical, LLC

h. Is your firm free from formal debarment from public works, federal, state or local jurisdictions?
 Yes [] No (attach explanation*)

3. CAPACITY AND CAPABILITY TO PERFORM THE WORK

a. Resources.

(1) Total number of current employees: 19
Project Managers 5
Estimators 3

Superintendents	3
Foremen	3
Tradesmen	6
Administration	3
Others	

(2) Does your firm have the immediate capacity to perform the work required for this project?

Yes

No

(3) What is the number and location of support centers, if applicable, and location of corporate offices?

1

(4) What was your annual construction volume over the last three (3) fiscal years?

1M

(5) What are your overall public sector sales, excluding Federal Government, for last three (3) years?
\$100,000

(6) What is your strategy to increase market share in the public sector?

By strengthening relationships with customers, lowering operational costs, understanding our direct competitors, pricing products and services efficiently.

(7) What differentiates your company from competitors in the public sector?

Hausermann Mechanical is a family owned business that takes pride in our work and ensures quality throughout the entire process.

(8) Describe any green or environmental initiatives or policies.
Please review recycling approach for more information.

(9) Provide any necessary detail as it relates to standard ordering methods and payment terms.

Net 30

(10) If Contractor requires additional agreements with Participating Public Agencies, provide a copy of the proposed agreement herein.

N/A

4. SURETY

a. Firm's current surety company: Hiscox

Will this surety be used for the construction contract for this project?

Yes

No (attach explanation*)

Contact Agent: Neiba Y. Molinar Telephone: 505-294-2009

Years utilizing this surety: 6 Maximum capacity: 1,000,000

Aggregate Total of current surety in force: 0

b. Is the surety company to be used on this project licensed to do business in the State of New Mexico?
 Yes No (attach explanation*)

c. Is your firm free of having any construction contracts taken over by a surety for completion in the past five (5) years?
 Yes No (attach explanation*)

d. **Complete Attachment A Provide a letter from your bonding company setting forth your company's available bonding capacity and availability and confirming that, if required, your company could provide labor and material payment bonds and performance bonds for certain projects up to the bonding capacity.**

5. SAFETY

a. Does your firm have a written safety program compliant with current state regulations?
 Yes No (attach explanation*)

(NOTE: Selected contractor will be required to provide a copy of their firm's written safety program at the time of contracting.)

b. Provide the Recordable Incident Rate for the past calendar year: 0

c. Is your firm free of committing serious or willful violations of federal or state safety laws as determined by a final non-appealable decision of a court or government agency?
 Yes No (attach explanation*)

d. Provide your safety record, safety rating, EMR and worker's compensation rate where available.

6. INSURANCE & CLAIMS HISTORY

a. Is your firm free from any court judgments, pending litigation, arbitration and final agency decisions filed within the last five (5) years in a construction related matter in which the contractor, or any officer, is or was party?
 Yes No (attach explanation*)

b. Has your firm during the past five (5) years been free of a determination by a court of competent jurisdiction that it filed a false claim with any federal, state, or local government entity?

Yes

No (attach explanation*)

c. Does your firm have the ability to provide the required insurance in the limit stated in the project documents?

Yes

No (attach explanation*)

d. **Complete Attachment B** by providing a letter from an insurance carrier stating that the firm is able to obtain insurance in the limits required in the RFP.

7. QUALITY ASSURANCE

a. Does your firm have a written Quality Assurance Program?

Yes

No (attach explanation*)

b. **Complete Attachment C** by providing a copy of the written Quality Assurance Program.

8. PROJECT SCHEDULING

a. Has the firm been involved with a construction project within the past five (5) years, where the schedule was not met?

Yes

No

If yes, please explain

▪ Project 1 Name: N/A

Reason for Delay: _____

▪ Project 2 Name: _____

Reason for Delay: _____

▪ Project 3 Name: _____

Reason for Delay: _____

b. Has the firm been assessed liquidated damages due to scheduling for any project in the past five (5) years?

Yes

No

If yes, please list project(s)

▪ Project 1 Name: N/A

- Project 2 Name: _____
- Project 3 Name: _____

9. LABOR CODE VIOLATIONS

- a. Has your firm, during the past five (5) years, been free of any determinations by a court or an administrative agency of repeated or willful violations of laws and/or regulations pertaining to the payment of prevailing wages or employment of apprentices of public works projects?
 Yes No (attach explanation*)
- b. **Complete Attachment D** by providing requested affidavit of non-violation of labor codes.
- c. Is the firm free of all sub-contractor Fair Practices Act violations for the past five (5) years?
 Yes No (attach explanation*)

10. VALUE STATEMENT

UNM places a strong emphasis on diversity, quality management and sustainable efforts and strives to utilize these practices in its everyday activities. **Complete Attachment E** by describing your firm's value system and note how you would demonstrate such practices on this project?

11. CONTRACTOR'S COMMENTS

- a. ***Complete Attachment F** if you have selected any answers in the qualification statement that require further explanation. Note the question number and proceed with the explanation. This attachment may also be used if necessary to further clarify any of the answers to the above qualification questions, by noting the question number and posting the clarification.
- b. **Complete Attachment G** if you would like to provide additional information about your firm and/or proposal.

The undersigned certifies that all of the qualification information submitted with this form is true and correct.

Signature of authorized representative



Printed or typed name John Hausermann

Title Owner

Date 11/15/2022

Company name Hausermann Mechanical, LLC

Address 3100 Richmond Dr. NE

City/State/Zip Albuquerque, New Mexico 87107

Telephone 505-200-0650 Fax 505-308-3994

Email jhausermann@comcast.net

ATTACHMENTS INCLUDED - 12

Please check all attachments included in the proposal A Notarized Declaration of Surety

- B Proof of Insurance
- C Copy of Quality Assurance Program
- D Affidavit of Non-Violation of Labor Codes
- E Copy of Value Statement
- F Clarifications, and Explanations
- G Additional Information (Optional)

----- END OF PRIMARY CONTRACTOR'S QUALIFICATION STATEMENT -----

Business Information Report On Demand

HAUSERMANN MECHANICAL

D-U-N-S: 78-623-4182

ADDRESS: 3832 Inca St Ne, Albuquerque, NM, 87111, United States

Date: 11/15/2022

RISK ASSESSMENT

SCORES AND RATINGS

<p>Max. Credit Recommendation</p> <p>US\$ 17,500</p>	<p>PAYDEX® SCORE</p> <p>62</p> <p>LOW-MODERATE RISK</p>	<p>Delinquency Predictor Percentile</p> <p>61</p> <p>MODERATE RISK</p>	<p>Financial Stress Percentile</p> <p>40</p> <p>MODERATE RISK</p>	<p>Supplier Evaluation Risk Rating</p> <p>6</p> <p>MODERATE RISK</p>
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MAXIMUM CREDIT RECOMMENDATION

Overall Business Risk



Maximum Credit Recommendation



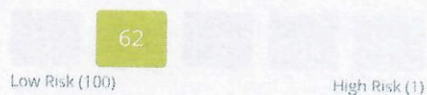
The recommended limit is based on a low-moderate probability of severe delinquency.

Dun & Bradstreet Thinks...

- Overall assessment of this organization over the next 12 months: **SOME STABILITY CONCERNS**
- Based on the predicted risk of business discontinuation: **MODERATELY HIGHER THAN AVERAGE RISK OF DISCONTINUED OPERATIONS OR BUSINESS INACTIVITY**
- Based on the predicted risk of severely delinquent payments: **MODERATE POTENTIAL FOR SEVERELY DELINQUENT PAYMENTS**

PAYDEX® SUMMARY

3 Months



When weighted by dollar amount, payments to suppliers average 21 days beyond terms. Value is based on payments collected over the last **3 months**.

24 Months



When weighted by dollar amount, payments to suppliers average 21 days beyond terms. Value is based on payments collected over the last **24 months**.

62

Low Risk (100)

High Risk (1)

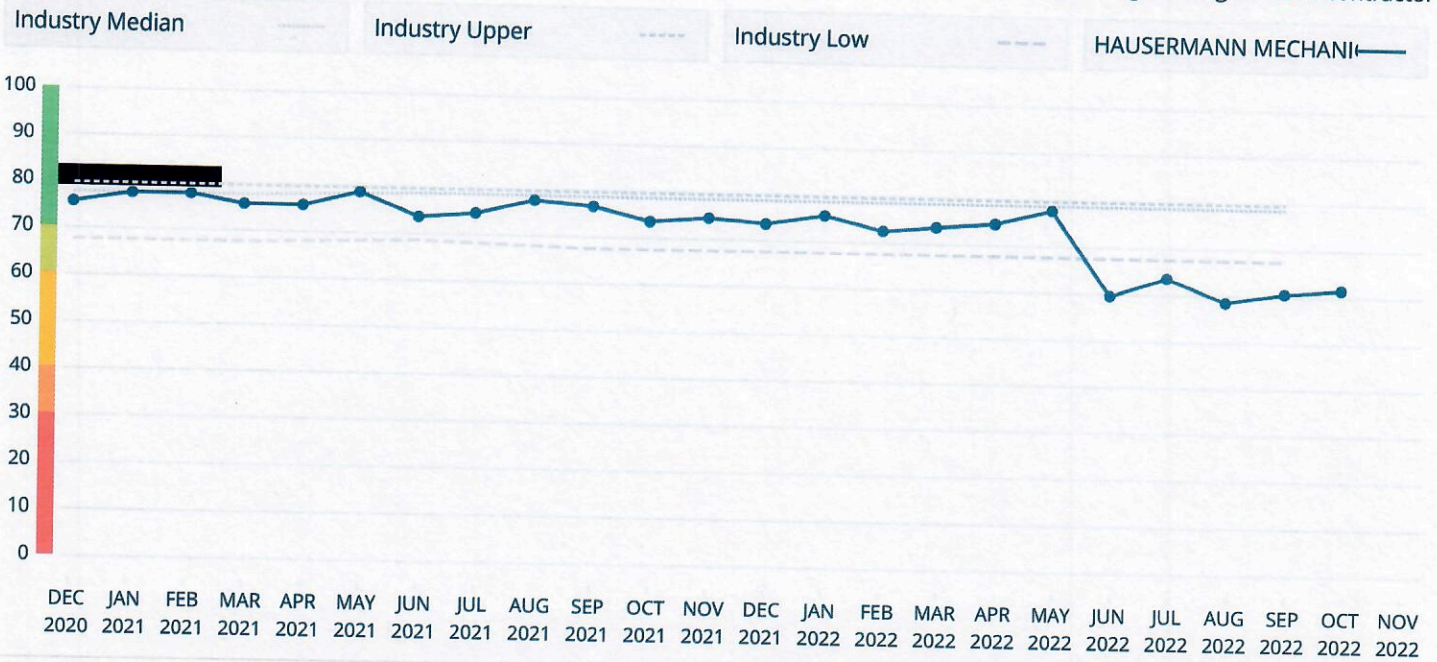
Risk of Slow Pay
LOW-MODERATE

Payment Behavior
21 Days Beyond
Terms

Based on a D&B PAYDEX® of

Business and Industry Trends

1711 - Plumbing/heating/air cond contractor



DELINQUENCY PREDICTOR SCORE

61

Low Risk (100)

High Risk (1)

Based on a D&B Delinquency Predictor Percentile of

- Higher risk industry based on delinquency rates for this industry
- Recent high balance past due
- Proportion of past due balances to total amount owing
- Evidence of recent payment experiences paid later than 30 days

Level of Risk
MODERATE

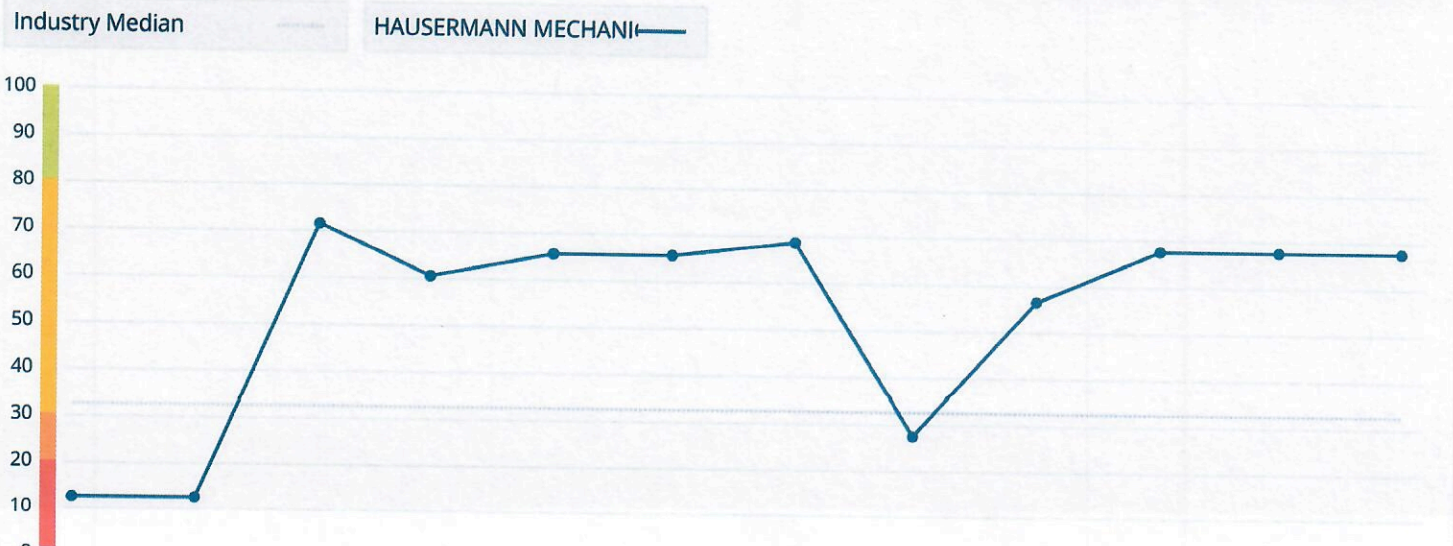
Raw Score
514

Probability of Delinquency
4.67%

Compared to Businesses in
D&B
10.2%

Business and Industry Trends

1711 - Plumbing/heating/air cond contractor



FINANCIAL STRESS SCORE



Based on a D&B Financial Stress Percentile of 2

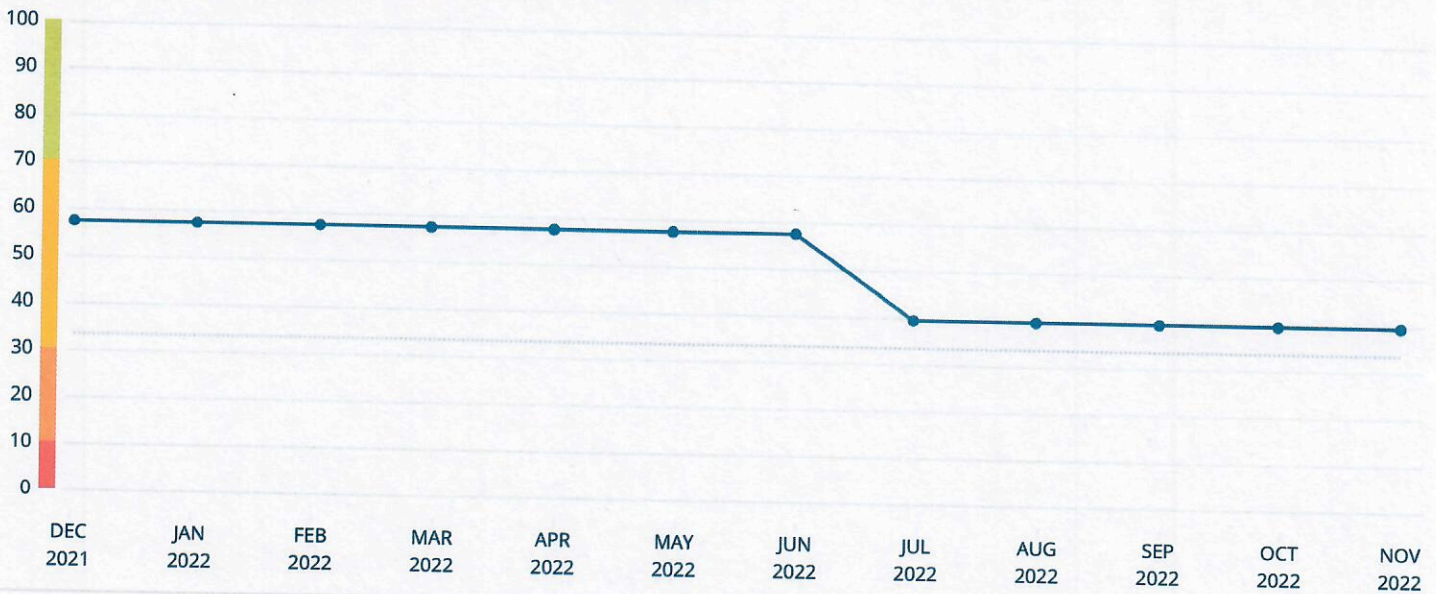
- Low proportion of satisfactory payment experiences to total payment experiences
- Low Paydex Score
- Higher risk legal structure
- UCC Filings reported

Level of Risk MODERATE	Raw Score 1462	Probability of Failure 0.31%	Compared to Businesses in D&B 0.48%
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Business and Industry Trends

1711 - Plumbing/heating/air cond contractor

Industry Median HAUSERMANN MECHANIK



SUPPLIER EVALUATION RISK RATING



Based on a Supplier Evaluation Risk Rating of D

Factors Affecting Your Score

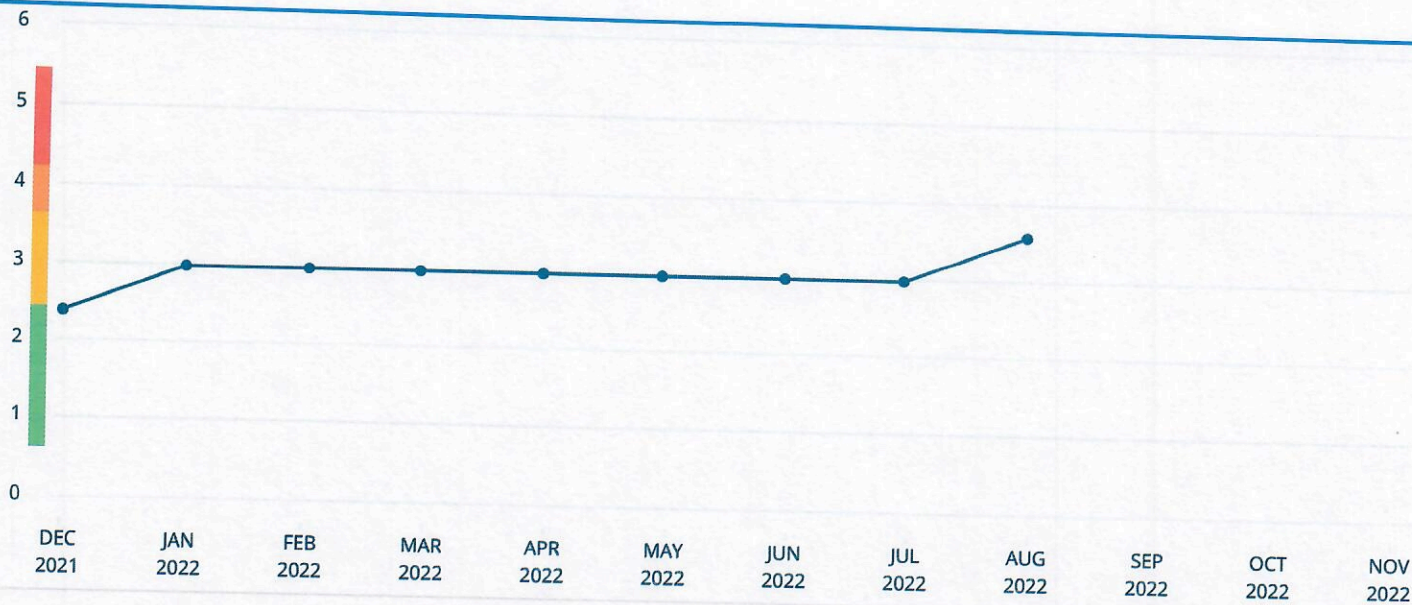
- Recent payment experiences suggest delays in meeting payment terms
- Unstable Paydex over last 12 months
- Proportion of slow payment experiences to total number of payment experiences reported
- Limited business activity signals reported in the past 12 months
- Business belongs to an industry with above average risk of ceasing operations or becoming inactive
- Proportion of past due balances to total amount owing

Business and Industry Trends

1711 - Plumbing/heating/air cond contractor

Supplier Evaluation Score HAUSERMANN MECHANIK

10



D&B RATING

Current Rating as of 06-17-2010

Previous Rating

Special Rating

Risk Indicator

--: Undetermined

3: Moderate Risk

TRADE PAYMENTS

TRADE PAYMENTS SUMMARY

Based on 24 months of data

Overall Payment Behavior

21

Days Beyond Terms

% of Trade Within Terms

85%

Highest Past Due

US\$ 100

Highest Now Owing: US\$ 7,500

Total Trade Experiences: 17

Total Unfavorable Comments : 0

Largest High Credit: US\$ 15,000

Largest High Credit: US\$ 0

Average High Credit: US\$ 1,820

Total Placed in Collections: 0

Largest High Credit: US\$ 0

TRADE PAYMENTS BY CREDIT EXTENDED

\$ CREDIT EXTENDED	% OF PAYMENTS WITHIN TERMS	# PAYMENT EXPERIENCES	TOTAL & DOLLAR AMOUNT
OVER 100,000	0%	0	\$0
50,000 - 100,000	0%	0	\$0
15,000 - 49,999	0%	1	\$15,000
5,000 - 14,999	100%	1	\$7,500
1,000 - 4,999	75%	2	\$2,000
UNDER 1,000	92%	11	\$2,800

TRADE PAYMENTS BY INDUSTRY

[Collapse All](#) | [Expand All](#)

Industry Category	Number of Payment Experiences	Largest High Credit (US\$)	% Within Terms (Expand to View)
↕50 - Wholesale Trade - Durable Goods	6	15,000	
5074 - Whol Plumb/hydraulics	2	15,000	3
5075 - Whol Heating/ac Equip	2	100	100
5084 - Whol Industrial Equip	1	250	50
5085 - Whol Industrial Suppl	1	50	100
25 - Industrial And Commercial Machinery And Computer	2	7,500	

3585 - Mfg Refrig/heat Equip	2	7,500	98
▼61 - Nondepository Credit Institutions	2	250	
6153 - Short-trm Busn Credit	2	250	100
▼60 - Depository Institutions	2	1,000	
6021 - Natnl Commercial Bank	1	1,000	100
6022 - State Commercial Bank	1	50	100
▼96 - Administration Of Economic Programs	1	750	
9611 - Admin Economic Prgm	1	750	100
▼99 - Nonclassifiable Establishments	1	500	
9999 - Nonclassified	1	500	100
▼48 - Communications	1	500	
4812 - Radiotelephone Commun	1	500	100

TRADE LINES

Date of Experience	Payment Status	Selling Terms	High Credit (US\$)	Now Owes (US\$)	Past Due (US\$)	Months Since Last Sale
10/2022	Prompt	-	7,500	7,500	0	1 Month
10/2022	Prompt	-	1,000	1,000	0	1 Month
10/2022	Prompt	-	250	0	0	1 Month
10/2022	Prompt	-	100	0	0	Between 6 and 12 Months
10/2022	Prompt	N30	50	50	50	1 Month
10/2022	Prompt	-	50	0	0	1 Month
10/2022	Prompt to Slow	-	1,000	250	0	1 Month
10/2022	Prompt to Slow	-	250	250	100	1 Month
10/2022	Prompt to Slow	-	250	50	0	1 Month
10/2022	Slow	-	15,000	0	0	Between 4 and 5 Months
09/2022	Prompt	-	500	500	0	1 Month
04/2022	-	Cash Account	100	0	0	Between 2 and 3 Months
04/2022	-	Cash Account	50	0	0	Between 4 and 5 Months

03/2022	payment-status- discount	-	50	0	0	Between 4 and 5 Months
02/2022	Prompt	-	750	0	0	Between 6 and 12 Months
12/2021	Prompt	-	500	0	0	1 Month
09/2021	Prompt	-	50	0	0	Between 6 and 12 Months

EVENTS

LEGAL EVENTS

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

SUITS		JUDGEMENTS		LIENS		UCC FILINGS	
TOTAL	0	TOTAL	0	TOTAL	0	TOTAL	2
LAST FILING DATE	-	LAST FILING DATE	-	LAST FILING DATE	-	LAST FILING DATE	07/26/2020

General: The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this was reported. This information may not be reproduced in whole or in part by any means of reproduction.

UCC Filings: There may be additional UCC Filings in the D&B file on this company which are available by contacting 1-800-234-3867.

Suits, Liens, Judgements: There may be additional suits, liens, or judgements in D&B's file on this company available in the U.S. Public Records Database that are also covered under your contract. If you would like more information on this database, please contact the Customer Resource Center at 1-800-234-3867.

Lien: A lien holder can file the same lien in more than one filing location. The appearance of multiple liens filed by the same lien holder against a debtor may be indicative of such an occurrence.

EVENTS

UCC Filing - Original

Filing Date	2020-07-26
Filing Number	20200099474B
Received Date	2020-08-04
Collateral	All Negotiable instruments including proceeds and products - All Inventory including proceeds and products - All Account(s) including proceeds and products - All Computer equipment including proceeds and products - and OTHERS
Secured Party	U.S. SMALL BUSINESS ADMINISTRATION, EL PASO, TX
Debtors	HAUSERMANN MECHANICAL, LLC
Filing Office	SECRETARY OF STATE/OPERATIONS BUREAU/UCC DIVISION, SANTA FE, NM

UCC Filing - Original

Filing Date	2017-01-25
Filing Number	20170048912A
Received Date	2017-02-10
Collateral	Inventory and proceeds - Account(s) and proceeds - General

Secured Party

FIRST NATIONAL FINANCIAL (800) 286-9607, CANOGA PARK, CA

Debtors

HAUSERMANN MECHANICAL L.L.C.

Filing Office

SECRETARY OF STATE/OPERATIONS BUREAU/UCC DIVISION, SANTA FE, NM

COMPANY EVENTS

The following information was reported on: 05-14-2020

Exact start date is undetermined. The original business report for the company was created by D&B on June 16, 2010. Since D&B can confirm ongoing operation from outside sources as of June 16, 2010, a reference start date of 2010 will be presented.

Ownership information provided verbally by JOHN F HAUSERMANN, Owner, on Apr 04 2016.

Business started 2010 by John F Hausermann.

JOHN F HAUSERMANN. Antecedents are unknown.

SPECIAL EVENTS

12-26-2020

Hausermann Mechanical, LLC was reported by the SBA as a recipient of a Covid-19 EIDL loan for \$149,500 on 07/15/2020 under the Economic Injury Disaster Loan Program.

Financials

D&B currently has no financial information on file for this company.

COMPANY PROFILE

COMPANY OVERVIEW

D-U-N-S

78-623-4182

Mailing Address

3832 Inca St Ne, Albuquerque
NM 87111, US

Annual Sales

US\$ 500,000

Business Form

Proprietorship

Telephone

(505) 459-5730

Employees

3

Date Incorporated

-

Fax

-

Age (Year Started)

12 years (2010)

State of Incorporation

New Mexico

Website

-

Named Principal

JOHN F HAUSERMANN, OWNER

Ownership

-

Line of Business

Plumbing/heating/air cond contractor

SIC

1711

OWNERSHIP

This business is not currently a part of a family tree.

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Experience Rating Worksheet

All States

WORKERS COMPENSATION EXPERIENCE RATING									
Risk Name: HAUSERMANN MECHANICAL LLC					Risk ID: 300284507				
Rating Effective Date: 03/20/2023				Production Date: 10/07/2022			State: NEW MEXICO		
State	Wt	Exp Excess Losses	Expected Losses	Exp Prim Losses	Act Exc Losses	Ballast	Act Inc Losses	Act Prim Losses	
NM	.06	9,722	12,865	3,143	184,481	32,250	202,981	18,500	
(A) Wt	(B)	(C) Exp Excess Losses (D - E)	(D) Expected Losses	(E) Exp Prim Losses	(F) Act Exc Losses (H - I)	(G) Ballast	(H) Act Inc Losses	(I) Act Prim Losses	
.06		9,722	12,865	3,143	184,481	32,250	202,981	18,500	
		Primary Losses		Stabilizing Value		Ratable Excess		Totals	
Actual	(I)	18,500		C * (1 - A) + G 41,389		(A) * (F) 11,069		(J) 70,958	
Expected	(E)	3,143		C * (1 - A) + G 41,389		(A) * (C) 583		(K) 45,115	
		ARAP	FLARAP	SARAP	MAARAP	Exp Mod			
Factors		1.26				(J) / (K) 1.50			
REVISED RATING THIS MOD HAS BEEN LIMITED IN ACCORDANCE WITH EXPERIENCE RATING PLAN RULES									

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Experience Rating Worksheet

All States



WORKERS COMPENSATION EXPERIENCE RATING

Risk Name: HAUSERMANN MECHANICAL LLC

Risk ID: 300284507

Rating Effective Date: 03/20/2023

Production Date: 09/21/2022

State: NEW MEXICO

State	Wt	Exp Excess Losses	Expected Losses	Exp Prim Losses	Act Exc Losses	Ballast	Act Inc Losses	Act Prim Losses
*NM	.06	9,094	12,254	3,160	184,481	29,875	202,981	18,500
(A) Wt	(B)	(C) Exp Excess Losses (D - E)	(D) Expected Losses	(E) Exp Prim Losses	(F) Act Exc Losses (H - I)	(G) Ballast	(H) Act Inc Losses	(I) Act Prim Losses
.06		9,094	12,254	3,160	184,481	29,875	202,981	18,500
		Primary Losses	Stabilizing Value		Ratable Excess		Totals	
Actual	(I)	18,500	C * (1 - A) + G 38,423		(A) * (F) 11,069		(J) 67,992	
Expected	(E)	3,160	C * (1 - A) + G 38,423		(A) * (C) 546		(K) 42,129	
		ARAP	FLARAP	SARAP	MAARAP		Exp Mod	
Factors		1.25					(J) / (K) 1.51	

NOTICE - THIS IS A PRELIMINARY MODIFICATION
COMPLETE PAYROLL AND LOSS DATA HAVE BEEN APPLIED TO CURRENT
RATING VALUES BUT A FINAL MODIFICATION CANNOT BE PROMULGATED
UNTIL PENDING RATE FILING HAS BEEN APPROVED.
THIS MOD HAS BEEN LIMITED IN ACCORDANCE WITH EXPERIENCE RATING PLAN RULES

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CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
10/27/2022

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Christian Slayton Insurance Agency INC 8300 CARMEL AVE 301 ALBUQUERQUE NM 87122		CONTACT NAME: Humberto Aguilar	
		PHONE (A/C, NO, EXT): 505-294-2009	FAX (A/C, NO): 505-291-9420
		E-MAIL ADDRESS: humberto.cslayton@farmersagency.com	
INSURED HAUSERMANN MECHANICAL LLC 3100 Richmond Dr NE ALBUQUERQUE NM 87107		INSURER(S) AFFORDING COVERAGE	
		INSURER A: Truck Insurance Exchange	NAIC # 21709
		INSURER B: Farmers Insurance Exchange	21652
		INSURER C: Mid Century Insurance Company	21687
		INSURER D: Fire Insurance Exchange	21660
		INSURER E: Hiscox INC	10200
		INSURER F:	

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAME ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDTL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
E	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR	Y	N	JDC4445591CGL22	03/24/2022	03/24/2023	EACH OCCURRENCE	\$ 1,000,000
							DAMAGE TO RENTED PREMISES (Ea Occurrence)	\$ 100,000
							MED EXP (Any one person)	\$ 5,000
							PERSONAL & ADV INJURY	\$ 1,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC OTHER:						GENERAL AGGREGATE	\$ 2,000,000
							PRODUCTS - COMP/OP AGG	\$ 2,000,000
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident)	\$
							BODILY INJURY (Per person)	\$
							BODILY INJURY (Per accident)	\$
							PROPERTY DAMAGE (Per accident)	\$
	<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB DED RETENTION \$						EACH OCCURRENCE	\$
							AGGREGATE	\$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	N/A	Y	A15203390	03/20/2022	03/20/2023	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER	\$
D							E.L. EACH ACCIDENT	\$ 1,000,000
							E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000
							E.L. DISEASE - POLICY LIMIT	\$ 1,000,000

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
Certificate holder is listed as Additional insured on the named Insured's general liability policy.
Waiver of Subrogation applies in favor of the certificate holder on the workers compensation policy.

CERTIFICATE HOLDER University Of New Mexico-Purchasing Department 700 Lomas Blvd NE #2600 MSC01 1740 Albuquerque, NM 87131-0001	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
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Hausermann Mechanical LLC

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Quality Assurance & Management

November 2022

The purpose of this document is to describe the components and requirements of Hausermann Mechanical LLC as applied the quality management of Projects.

DETAILS:

The Hausermann Mechanical LLC definition of quality is a departmental offering (product or service) with characteristics that consistently:

1. meet or exceed client requirements;
2. comply with legislative/regulatory/policy requirements; and
3. Meet established standards.

Hausermann Mechanical LLC's Quality Management (QM) Framework consists of seven interrelated elements that are required to become a quality driven organization. They are as follows:

- Leadership
- Planning
- Client-focus
- People Focus
- Partner / Supplier Focus
- Process Based
- Organizational Performance

This quality management lens has been integrated into the Quality Management Knowledge Area as well as the other areas. It is applied to all processes, products and services. Quality management is the vehicle through which the department ensures successful repeatability in project delivery and continuous improvement of its project management framework, policies, objectives, standards, responsibilities, practices and procedures. Quality management also

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allows organizations to reinforce a corporate culture of service quality, so that their employees, suppliers and partners will endeavor to achieve and maintain high client satisfaction and to implement a philosophy of continuous improvement.

"Quality Management is the identification of the Quality Assurance and Control process to ensure that the end product of the project will satisfy the need for which it was intended." At a project level, the quality management process involves the development and implementation of policies, objectives, standards, responsibilities and procedures to ensure that the products and deliverables produced by the project meet quality standards. Quality management applies to both project deliverables and project work processes. Plan Quality is the process of identifying quality requirements and / or standards for the project and product, and demonstrating how the project will demonstrate compliance.

Perform Quality Assurance is the process of auditing the quality requirements and the results from quality control measurements to ensure quality standards and operational definitions are used.

Perform Quality Control is the process of monitoring and recording the results of executing the quality activities to assess performance and recommend necessary changes.

Objectives

The purpose of the Project Quality Management process is to ensure that:

- quality planning activities are conducted and result in the required metrics, tools, standards and processes that will be used by the project to attain its quality objectives;
- products are built to agreed-upon standards and requirements;
- products and processes are built in accordance with all relevant client, project, product development, and branch, departmental, GC governance review and approval gating criteria;
- work processes are performed efficiently and are documented;
- non-conformances are identified and appropriate corrective action is taken;
- necessary quality documentation is prepared and retained as part of the project record.

Inception Stage

Quality management during the Inception and Identification Stages means ensuring the quality of the Identification Stage processes and deliverables.

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Definition Phase

The project conducts a preliminary assessment and documents a business need or opportunity in the form of a Statement of Requirements (SoR) document. A high-level statement regarding quality requirements may be included.

Identification Stage

Initiation Phase

Provide a high-level preliminary quality statement in the Preliminary Project Plan (PPP) along with a set of proposed milestones and deliverables. During Initiation, projects define the business requirements and may document a high-level target business vision that incorporates quality. The target business vision and the business requirements are the framework upon which quality requirements are built.

Feasibility Phase

Projects may conduct an environmental scan to review available technical solutions to the business problem and to assist in the feasibility assessment process. The Feasibility Report identifies all of the viable options and potential high-level activities related to each option. These will be subject to further analysis. A Conceptual Architecture Solution document is produced during the Feasibility Phase. A Concept of Operations document is either produced or an existing document is refined to reflect how a solution may operate once implemented. These documents support the identification and definition of quality criteria ultimately incorporated within scope definition.

Analysis Phase

During the Analysis Phase the objective is to produce a Business Case which includes a recommended technical solution and a Project Charter which will contain a high-level quality statement. This forms the basis upon which further quality detailing occurs. Quality criteria are also normally detailed in the Evaluation Criteria which will be used in the procurement process and in the Migration Strategy and Testing Strategy documents that support the technical solution.

Identification Close-out Phase

The purpose of the Identification Close-out Phase is to ensure an appropriate level of assessment, reporting, evaluation, handover and administrative closure has taken place. A

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formal close out provides enough directional detail for the (delivery organization) Project Manager to seamlessly proceed to the Delivery Stage.

In light of the Preliminary Project Approval (PPA) decision, obtained in the Analysis Phase, the project team ensures that the document records prepared in this phase include high-level quality requirements.

Planning Phase

Subsequent to the PPA decision, the quality planning process is repeated in the Planning Phase. Quality planning in the Delivery Stage must include quality procedures, metrics and tools to ensure the quality of both project management and product deliverables and processes required to produce and implement the recommended solution.

The project team uses the following inputs to define its Quality Management Plan processes, metrics and tools:

- Scope Baseline;
- Cost Performance Baseline;
- Schedule Baseline;
- Risk Register; and
- Other tools, processes and information existing within the organization.

The Quality Management Plan results from the quality planning process. It is typically captured in the Project Management Plan. Associated components of the plan include quality assurance, quality control and continuous improvement approaches, such as quality metrics, quality checklists and process improvement plans.

Design Phase

During the Design Phase the Logical Architecture document is further updated to reflect the physical architecture design with quality components. A migration plan is produced to outline how existing elements will be incorporated into the enterprise architecture as final products and processes. As these products are produced and then updated, it is essential to conduct both scope verification and control activities.

Scope verification differs from quality control in that scope verification is primarily concerned with acceptance of the design as it relates to scope while quality control is

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primarily concerned with meeting the quality requirements specified for the deliverables. Quality Control is generally performed before scope verification, but these two activities can be performed in parallel.

Implementation Phase

Perform Quality Assurance

Quality assurance activities create standards and measurement tools. The project team then monitors and verifies that the processes used to manage and create the deliverables are followed and are effective.

Internal quality control activities are exercised via:

- the Project Steering Committee, as it reviews the effectiveness of project management and product development practices and processes;
- the Project Management Team, through its regular scheduled meetings and discussions and through the implementation of the PMP;
- the project's creation of effective quality measurement standards, deliverables and tools such as:
 - Quality Standards
 - Quality Metrics
 - Quality Checklists
 - System Testing Strategy
 - System Testing Plan
 - User Acceptance Testing Plan
 - Requirements Traceability Matrix
 - Acceptance Criteria

Conduct Process Improvement Activities

Projects continually improve the effectiveness of their quality management process through the management of quality non-compliance, implementation of lessons learned, analysis of performance data, and management review.

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The continuous improvement team is responsible for managing improvements.

Perform Quality Control

Quality control executes and implements quality measures and collects data. Activities monitor and verify that project deliverables meet defined quality standards. The following is a sampling of quality control activities:

- Peer Reviews
- Design Reviews
- Technical Reviews
- Control of Design and Changes
- Product Testing

Performance Reporting

Effective performance monitoring is a key to the Quality Management Process within projects. Reporting provides information on how well the project is working and provides feedback on the degree to which project management, technical and product development teams and deliverables meet the defined standards to enable continuous improvement.

Performance reporting also supports internal project quality control processes. Monitoring is done through the project governance structure which includes the sponsor, the Project Steering Committee and an independent review function via the Monthly Project Delivery Management process. Monitoring and control processes ensure quality services and solutions and enhance the consistent management and delivery.

Manage Quality Non-Compliance

Documented processes, plans and standards define how project activities should be performed. Project non-compliance is the degree to which the actual performance of project activities deviates from how they should be performed.

The quality non-compliance management process involves:

- identifying, analyzing and documenting non-compliance;
- defining corrective treatments;

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- referring change requests to the project's Change Management Process;
- authorizing and monitoring corrective actions; and
- Closing non-compliance.

The quality non-compliance management process applies to project management and product development deliverables, processes, activities and to project governance structures.

Manage Project Lessons Learned

Lessons learned are the knowledge gained from the positive and negative experiences encountered during the execution of a project. The objective of capturing lessons learned is to support a knowledge base of project management information. This experience-based information is used to continuously improve project management processes by ensuring that positive project experiences are repeated and negative project experiences are avoided.

Managing lessons learned is not a static process. Lessons learned are captured at the end of the Identification and Delivery Stages and also collected formatively during the Analysis, Planning and Design Phases to support internal project improvement. The lessons learned collected at project close out are used to improve the delivery of the enterprise project portfolio. The lessons learned management process includes:

- identifying and documenting lessons learned;
- compiling and analyzing lessons learned;
- reporting and sharing lessons learned;
- implementing lessons learned (individual projects and corporate methodologies); and
- Monitoring the implementation of lessons learned corrective actions.

Final lessons learned are collected at the end of the Identification Stage Close Out. They are collected formatively during the Project Delivery Stage Planning, Design and Implementation Phases. During Project Close Out, a separate Lessons Learned Report is prepared for larger projects; for smaller projects, project lessons learned are included as a component of the Project Close-out Document.

The lessons learned process is an essential component of the Continuous Improvement Process. In addition to being a key element of good quality management, it also allows the NPMS continuous improvement teams and the monthly project delivery management teams the opportunity to evaluate and learn from the many activities that took place throughout the project lifecycle and to propagate these lessons learned in similar projects.

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Delivery Close-out Phase

Once the project is complete, the project team prepares the Project Close-out Document, and the lessons learned report. It also conducts the administrative and contract Close Out activities, documenting the process thoroughly. The degree to which the project met its quality assurance and quality control standards and followed its quality management are thoroughly described in both documents. Approved changes to these processes that were implemented also need to be documented. Note: In a majority of projects, lessons learned are incorporated into the Project Close-out Document.

The Project Close-out Process is used to tie up loose ends at the end of the project, such as transferring unresolved issues and action items appropriately. The operational enterprise Change Advisory Board also conducts a post-implementation review to tie up loose ends from an operational change management perspective. For the same reason, the business line owner conducts a post implementation review to capture lessons learned and to begin the benefits realization assessment.

DEFINITIONS:

Key Performance Indicator "On Time"

The development/delivery status of the project is in accordance with the approved schedule.

Key Performance Indicator "On Budget"

The current financial status of the project and estimated budget to completion is in accordance with the approved budget objective.

Key Performance Indicator "On Scope"

The current scope of the project is in accordance with the approved scope objective.

Key Performance Indicator "Overall Status"

The Project is in accordance with the approved objectives for each of the On Time, On Budget and On Scope indicators.

ROLES AND RESPONSIBILITIES:

All parties responsible for developing Quality Management Plans are strongly encouraged to consult with other Project Leaders/Managers and Senior Project Managers when developing the Quality Management Plan. It is also recommended that Project Managers and Quality Managers seek advice from technical experts and other SMEs within PWGSC when producing/updating quality plans.

Project Lead (Business Side)

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The Project Lead (Business Side) is responsible for the following quality management activities:

- ensuring that a quality management process is implemented and respected;
- ensuring that quality assurance & quality control processes are implemented by the project and technical teams;
- monitoring quality measurement activities from a business perspective;
- signing off on product and project management deliverables in accordance with the quality requirements of the business,
- respecting the project quality management processes;
- actively participating in quality management activities;
- endorsing decisions to improve existing processes; and
- Reporting quality management lessons learned.

Quality Manager

Note: A specialized dedicated resource may be required to fill this role on larger projects. On smaller projects this role is filled by the (delivery) Project Manager.

The Quality Manager is responsible for the overall quality management on the project. Specifically, the Quality Manager is responsible for the following quality management activities:

- Ensuring that a Risk Management Plan is created, maintained and implemented as documented, using the Risk Log created during the Initiation Stage and updated during the Identification Stage as the basis for the Risk Management Plan;
- defining and establishing the project's quality objectives and standards;
- preparing and maintaining the quality management plan;
- implementing the quality management processes;
- ensuring that all project personnel are following the established best practices for the project;
- establishing and maintaining the quality management records/logs/files;
- reviewing deliverables for compliance with requirements/standards;

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- establishing and maintaining the continuous quality review process and ensuring that all non-compliance issues are tracked in accordance with the approved process;
- attending project management meetings; and
- Participating in quality management audits/project reviews and tracking action items.

Project Manager

The project manager is responsible for the following quality management activities:

- ensuring that a client-focus is maintained by the project team;
- ensuring that quality management best practices are integrated into all processes and deliverables;
- providing resources to quality management activities (personnel, tools);
- ensuring that all personnel follow the established quality management processes;
- reviewing quality management audit reports and taking corrective action, where required;
- ensuring quality management activities and processes are included in the project plan and schedule and monitoring for deviations from planned activities;
- resolving project quality non-conformance issues;
- reviewing and sharing project lessons learned reports and implementing applicable recommendations; and
- Reporting on quality management.

Project Management Team

The project management team (Project Manager, Technical Manager, Business Manager, others) is responsible for the following quality management activities:

- maintaining a client-focus;
- reviewing and approving project quality management plan and processes;
- reviewing quality control reports and taking corrective actions, when required;
- reviewing quality management audit reports and taking corrective actions, where required;

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- reporting on ways to improve present processes; and
- Reporting on quality management lessons learned.

Project Team

The project team is responsible for the following quality management activities:

- maintaining a client-focus;
- following the project quality management plan and processes;
- supporting approved testing programs;
- reporting on ways to improve existing processes;
- reporting quality management lessons learned;
- reporting quality non-compliance issues; and
- Conducting quality control activities and collecting metrics when required.
- respecting the project quality management processes;
- actively participating in quality management activities;
- reporting on ways to improve existing processes;
- reporting quality management lessons learned; and
- Signing off on product quality.

Customer Satisfaction

Understanding, evaluating, defining and managing expectations so that customer requirements are met.

Prevention over Inspection

Quality is planned designed and built in – not inspected in. The cost of preventing mistakes is generally much less than the cost of correcting them.

Management Responsibility

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Success requires the participation of all members of the project team, but remains the responsibility of management to provide the resources needed to succeed.

Peer Reviews

Whenever possible, the documents developed during the project will be reviewed by a peer. A list of deliverables to be produced along with the OPI and the reviewer(s) will be maintained.

Design Review

The Project Manager schedules design reviews in order to evaluate the ability of the design to fulfill requirements, to identify any problems and propose corrective actions. Participants in such reviews include the project team as well as business and functional representatives concerned with the design stage(s) being reviewed. The results of the reviews and any necessary actions will be maintained as part of the project record.

Technical Reviews

Before going to EPA, the project manager and technical manager review and approve the technical design and solution documentation internally. Normally SMEs from within the project and operational in-service organizations participate in the review. Internal testing and / or quality review ensure that mandatory requirements are met before the technical review can take place.

Control of Design and Development Changes

Design and development changes will follow this project's Change Management Process, which is documented in the Integration Management Knowledge Area. The purpose of change management is to control changes to the established project baselines.

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Attachment D

Affidavit of Non-Violation of Labor Codes

Supplemental to Subcontractor's Statement of Qualifications

Name of Firm: Hausermann Mechanical, LLC

Address: 3100 Richmond Dr. Albuquerque, NM 87107

Project: UNM Job Order Contracting

Reference: UNM Job Order Contracting

Request for Proposal No: RFP-2379-23

Affidavit of Non-violation of Labor Codes

To: The University of New Mexico

The undersigned officer of Hausermann Mechanical, LLC hereby states that Hausermann Mechanical, LLC has, during the past five years, been free of any determinations by a court or an administrative agency, of repeated or willful violations of laws and/or regulations pertaining to the payment of prevailing wages or employment of apprentices of public works projects.



Signature

11/17/2022
Date

John Hausermann

Name

Owner

Title

NOTARY

State of New Mexico)

County of Bernalillo)

Signed or attested before me on 11/17/2022 by John Hausermann

seal



Melissa Garcia

My Commission Expires: 10/22/26

Hausermann Mechanical, LLC.

Lic. # 383125

Mission and Values

Our Mission

Hausermann Mechanical LLC., mission is to provide the highest-quality workmanship possible. We succeed at this because of the integrity of our subcontractors and staff, our commitment to a solid work ethic, and our passion for staying current with the newest innovations of our industry, with consideration for the environment.

Hausermann Mechanical LLC., is a mechanical contracting business incorporated in New Mexico in 1996 with the intent to provide customers with a company that strives on good work ethic, honesty, and a sense of pride when a job is complete. Our staff and crew bring years of experience to bear in residential, commercial and industrial building and high-end renovations. Currently, the company is involved in custom whole-house renovation and remodels, in addition to new-home construction and commercial projects.

Typical projects are structured with one of the principals as the primary project manager. A working supervisor or foreman is on the job from start to finish and is responsible for the implementation of the design.

We've worked with our subcontractors for years because our relationships are built on trust and performance. Our employees have been chosen based on their ability and level of craftsmanship, as well as their personal qualities and values. We believe that having our own employees provides us with more immediate control over the direction and nature of the construction process.

Our pride and personal involvement in the work we perform result in superior quality and service. This attitude is also directly reflected in our employees' level of responsibility, professionalism and competency.

Our Values

Hausermann Mechanical, LLC. has a core set of values that help inform everything we do. They serve as the foundation for Hausermann Mechanical, LLC. long-term sustainability and business development. Our values are the backbone of our company and our workforce.

Integrity:

We act with honesty, fairness, respect and safety, to further a culture of unquestioned integrity.

Hausermann Mechanical, LLC. 3832 Inca St. NE, Albuquerque, NM 87111-3620

505-459-5730 ph.

jhausermann@comcast.net

www.hausermannmechanical.com

Hausermann Mechanical, LLC.

Lic. # 383125

Mission and Values

Innovation:

We find innovative solutions that add value to our clients' projects. We are committed to investigating and implementing new products and procedures to ensure we stay on top of advancing technology, growth within our industry, and changing needs of our clients.

Performance:

We provide excellent performance through schedule-driven crews and continually strive not only to meet client expectations but to exceed them.

Financial Strength:

We believe solid financial performance creates security for our clients and opportunity for our employees.

Safety

Protecting the health and safety of every employee is our top priority and is the foundation of our success. We incorporate safety and risk management procedures into our daily operations and ensure integration throughout the entire Hausermann Mechanical, LLC. Organization.

Flexibility

We work with our clients to provide the flexibility needed to ensure project targets are always met on time and within budget.

Teamwork

Our integrated team has become our greatest asset. Working as a team allows our clients to benefit from the combined strength of our individual talents, skills and expertise.

Hausermann Mechanical, LLC. 3832 Inca St. NE, Albuquerque, NM 87111-3620

505-459-5730 ph.

jhausermann@comcast.net

www.hausermannmechanical.com



MENICUCCI
INSURANCE

Face to Face.
Specialty Expertise.
No Nonsense.

November 17, 2022

Re: Hausermann Mechanical, LLC

To Whom It May Concern:

We are very proud to represent the surety needs of Hausermann Mechanical, LLC. This firm enjoys an outstanding relationship with their surety, RLI Insurance Company. RLI Insurance Company current treasury listing capacity is \$124,065,000. In the past, RLI Insurance Company has favorably considered the bond requests in the \$1,000,000 to \$5,000,000 Single job and Aggregate program range with currently 80% available, higher limits are available upon request.

The professionalism displayed by this firm is well known and has become a trademark of their company. Hausermann Mechanical, LLC has enjoyed an excellent growth pattern in the past several years. They have earned an excellent reputation for quality workmanship and timely completion of their projects.

The execution of performance and payment bonds would be based on a favorable review of the contract documents and underwriting requirements at the time of the bond request.

Should you require any additional information regarding Hausermann Mechanical, LLC, please do not hesitate to contact us directly.

Sincerely,

Kevin A. Menicucci
Attorney-in-Fact and
Sr. Vice President



Notarized this 17th day of November, 2022

STATE OF NEW MEXICO
NOTARY PUBLIC
SARAH RIEWE
COMMISSION # 1128910
EXPIRES JUNE 18, 2024

**Appendix E – Key Personnel
Project Manager**

Name: John Hausemann

Name: _____

Title: Owner

of Years with the Firm: 16 years.

Experience with the Following Type of Construction Services:

General Construction Mechanical, Electrical, and Plumbing Roofing Painting

of Years as a Project Manager for Type of Construction Services Selected Above: 20+

Check All Relevant Experience:

Projects for Higher Education Owners Laboratory Renovations Clinical / Medical Environment

General Construction Roofing Replacement/Repair Mechanical Upgrades Electrical Upgrades

Interior Renovation Asbestos abatement Exterior / Interior painting Boiler Replacement

Bituminous Paving Concrete Masonry Exterior Facade Security Camera Installation

Canopy Replacement/Repair Elevator Repair/Replacement Escalator Repair/Replacement

Overhead Doors Glass Installation Steel Erection Concrete Floor

Duct bank repair / installation Outdoor light installation Fire Suppression System Installation

Landscaping Fencing Earthwork / Site Work Demolition Painting

ATTACH RESUME

Yes

Client Reference #1 for Construction: (It is your responsibility to assure that the contact information listed is correct. If your reference can not be contacted, this project may not be considered.)

Agency's contact: Name Sara Peterson Title Owner

Telephone: 505-881-1461 Email Address: sweepconstruction@gmail.com

Client Reference #2 for Construction: (It is your responsibility to assure that the contact information listed is correct. If your reference can not be contacted, this project may not be considered.)

Agency's contact: Name Christina Stanley Title Property Manager

Telephone: 505-998-0399 Email Address: Chris.stanley@svn.com

John F. Hausermann

3832 Inca Street NE Albuquerque, NM 87111 Cell: 505 459-5730 jhausermann@comcast.net

OBJECTIVE	A career position where proven abilities in PROJECT MANAGEMENT, BUILDING PROJECT DESIGN, SAFETY and QUALITY ASSURANCE can be drawn upon to ensure the timely and cost-effective completion of your construction and maintenance projects.
SUMMARY OF QUALIFICATIONS	<ul style="list-style-type: none">• Twenty + years of successful work history in CONSTRUCTION MANAGEMENT with a solid foundation in the design & installation of commercial and industrial Process Mechanical systems.• Accomplished TEAM LEADER & TRAINER—proven ability to effectively schedule and supervise subcontractors, foremen and diverse employee groups; ensure quality workmanship and on-time delivery of services; instructs, coaches & motivates individuals to exceed employer expectations and achieve their highest potential• Highly experienced HVAC TECHNICIAN with a demonstrated capacity to install, repair and maintain large-scale heating, ventilation & cooling systems, plumbing systems, and other sheet metal-related construction projects• Able to read BLUEPRINTS & SCHEMATIC drawings, prepare cost estimates & calculate MATERIAL TAKEOFFS for a wide range of mechanical projects; familiar with UBC, UPC, UMC, state & local building codes• Experience in PURCHASING—full knowledge of contemporary BUILDING MATERIALS; Chooses the most economical products without sacrificing safety or integrity of construction• Clean driving record—no accidents or citations / Eligible for security clearance• COMPUTER LITERATE:<ul style="list-style-type: none">• MS WORD • EXCEL SPREADSHEETS • POWERPOINT • Some CAD experience
bids,	
PERSONAL PROFILE	<ul style="list-style-type: none">• Professional work image / positive attitude• Excellent communication, organizational and time-management skills• Detail-oriented and precise with very high performance standards• Productive TEAM PLAYER—routinely surpasses expectations of senior managers• Places a high value on quality of workmanship and safety in the workplace
WORK EXPERIENCE	<p>HAUSERMANN MECHANICAL LLC (2003-Present) CEO / Project Manager / Safety Manager / Project Superintendent / HVAC Installer/ Plumbing, Mechanical Installer Actively operating all company functions regular business operations (Safety, Management, Estimating, Contracts, Payroll etc.) to HVAC, Plumbing, and Mechanical Installations.</p> <p>INTEL CORPORATION, LOS ALAMOS NATIONAL LABS, SANDIA LABS/ New Mexico [1993-2012 (not consecutively)] Construction Manager / Project Superintendent / HVAC Foreman / Test & Balance (T.A.B.) Technician <i>Has served as an HVAC technician and/or crew leader for a succession of companies contracted to set up and configure complex heating, air conditioning & ventilation systems. Contracted employers include Energy Balance, Inc., Hamis & Hart, Yearout Mechanical, and TDC Hoffman Pacific, National Heat and Vent, Johnson Controls Inc.</i></p> <p>YEAROUT MECHANICAL & ENGINEERING / Albuquerque, New Mexico General Foreman / Foreman [1996-1997; 1998; 1999-2000; 2005; 2009-2012]</p>

Supervised various demolition, construction and mechanical installation projects at Intel, Philips Semiconductor and MCT/Quartz International. Most recent project was Turner Munters VOC abatement install at 11X Intel Rio Rancho NM.

Work Experience
(Continued)

MILLER BONDED / Albuquerque, New Mexico

Journeyman Sheet Metal Worker, Welding Supervisor [1999] [2007-2009]

Responsible for manufacture and welding of hvac ducts and products associated with the hvac industry.

-Responsible for the installation of duct supports and HVAC ducts in 80-foot chase between floors of five-story building at Sandia National Laboratories, (PETL project, 1999)

JB HENDERSON / Rio Rancho, New Mexico

General Foreman [2005-2006]

Responsible for various INTEL mechanical projects.

HARRIS & HART INC. / Rio Rancho, New Mexico [2001-2004]

Project Superintendent

Project managed the 1262 tool install (F11X) project,

APPLIED MECHANICAL / Rio Rancho, New Mexico [2000-2001]

Construction Manager / Mechanical QA/QC

Coordinated & directed the equipment and facilities demolition of Fab 9, and the new construction and installation of facilities & equipment to support Fab-11 West 200mm. Supervised the daily work activities of subcontracted companies and their work force, including coordination of contract work to comply with Safety, schedule, budget, State and local codes, and Intel Specifications.

• Commended by Intel for meeting deadlines and on-the-job safety standards

UNITED STATES AIR FORCE / March AFB, California [1990-1994]

Metal Fabrication / Structural Specialist

Supervised and operated sheet metal, welding and plumbing shop in support of Base Maintenance and new construction projects.

EDUCATION

COMMUNITY COLLEGE OF THE AIR FORCE / Sheppard AFB TX, March AFB CA

- Completed Apprentice **Metal Fabrication Specialist Course**
- Completed Apprentice **Structural Specialist Course**

COBRE HIGH SCHOOL / Bayard, New Mexico

- Emphasis on **Mechanical Engineering, Drafting & Mechanical Drawing**

CERTIFICATIONS

Licensed New Mexico Contractor MM-98 #383125

New Mexico Licensed Journeyman Sheet Metal Worker #01515

New Mexico Licensed Journeyman Refrigeration #378891

New Mexico Licensed Journeyman Plumbing and Gas #379069

Certified Medical Gas Installer

OSHA 10

Appendix F - Key Personnel Lead Superintendent

Name: Lawrence Padilla

Name: _____

Title: Lead Superintendent

of Years with the Firm: 1 Year

Experience with the Following Type of Construction Services:

General Construction Electrical Mechanical Roofing

Experience with the Following Type of Construction Services:

General Construction Mechanical, Electrical, and Plumbing Roofing Painting

of Years as a Project Manager for Type of Construction Services Selected Above: 10

Check All Relevant Experience:

- Projects for Higher Education Owners Laboratory Renovations Clinical / Medical Environment
- General Construction Roofing Replacement/Repair Mechanical Upgrades Electrical Upgrades
- Interior Renovation Asbestos abatement Exterior / Interior painting Boiler Replacement
- Bituminous Paving Concrete Masonry Exterior Facade Security Camera Installation
- Canopy Replacement/Repair Elevator Repair/Replacement Escalator Repair/Replacement
- Overhead Doors Glass Installation Steel Erection Concrete Floor
- Duct bank repair / installation Outdoor light installation Fire Suppression System Installation
- Landscaping Fencing Earthwork / Site Work Demolition Painting

ATTACH RESUME Yes

Client Reference #1 for Construction: (It is your responsibility to assure that the contact information listed is correct. If your reference can not be contacted, this project may not be considered.)

Agency's contact: Name Sara Peterson Title Owner

Telephone: 505-881-1461 Email Address: sweepconstruction@gmail.com

Client Reference #2 for Construction: (It is your responsibility to assure that the contact information listed is correct. If your reference can not be contacted, this project may not be considered.)

Agency's contact: Name Christina Stanley Title Property Manager

Telephone: 505-998-0399 Email Address: Chris.stanley@svn.com

**Appendix G – Key Personnel
Safety Manager**

Name: Nicholas Hausermann

Name: _____

Title: Superintendent/Safety Manager

of Years with the Firm: 5 Years

Experience with the Following Type of Construction Services:

General Construction Mechanical, Electrical, and Plumbing Roofing Painting

of Years as a Project Manager for Type of Construction Services Selected Above: 4 Years

Check All Relevant Experience:

- Projects for Higher Education Owners Laboratory Renovations Clinical / Medical Environment
- General Construction Roofing Replacement/Repair Mechanical Upgrades Electrical Upgrades
- Interior Renovation Asbestos abatement Exterior / Interior painting Boiler Replacement
- Bituminous Paving Concrete Masonry Exterior Facade Security Camera Installation
- Canopy Replacement/Repair Elevator Repair/Replacement Escalator Repair/Replacement
- Overhead Doors Glass Installation Steel Erection Concrete Floor
- Duct bank repair / installation Outdoor light installation Fire Suppression System Installation
- Landscaping Fencing Earthwork / Site Work Demolition Painting

ATTACH RESUME Yes

Client Reference #1 for Construction: (It is your responsibility to assure that the contact information listed is correct. If your reference can not be contacted, this project may not be considered.)

Agency's contact: Name Sara Peterson Title Owner

Telephone: 505-881-1461 Email Address: sweepconstruction@gmail.com

Client Reference #2 for Construction: (It is your responsibility to assure that the contact information listed is correct. If your reference can not be contacted, this project may not be considered.)

Agency's contact: Name Christina Stanley Title Property Manager

Telephone: 505-998-0399 Email Address: Chris.stanley@svn.com

NICHOLAS HAUSERMANN

(505)401-0138
601 Grace St NE
Albuquerque, NM 87123

Skills Summary

- Proficient in Microsoft Word and Excel
- Situational adaptability
- Dependable and responsible
- Time management
- Quick learner with drive
- Task delegation
- Team or independent work

Education

Eldorado High School
2011

- High School Diploma

Central New Mexico Community College
2011-2014

- 3 Years of College Education

Associated Builders and Contractor's Apprenticeship Program
2015-present; projected completion: Summer 2020

- Plumbing Apprenticeship

Experience

Hausermann Mechanical

(May 2014-Present)

- Supervise and delegate tasks for employees, ensuring timely completion and safe, accurate installation/repair/replacement.
- Maintain all safety standards with continuous training, adapting PPE and processes to meet varying project types and locations.

- Perform leak and integrity checks on existing plumbing projects, repair units and appliances, install new pipes and fixtures, and perform regular maintenance.
- Install/repair HVAC equipment, including refrigerants/heating and ventilation, while inspecting for safety and ensuring proper function of all appliances.

Appendix I – Comparable Construction Experience Mechanical, Electrical, and Plumbing (MEP) Projects

Applicable to Firms Submitting a Proposal for the Mechanical, Electrical, and Plumbing (MEP) Contract

Proponent's Name: UNM CTSC Batcave

Agency / Client Name: University of New Mexico

Project Name: UNM CTSC Batcave Restroom Renovation

Project Number: BE-20-0327-B Project Value: \$140,000.00

Achieved or Anticipated Final Acceptance after January 1, 2018 Yes No

Company Role: Sub Contractor Prime / JV Contractor

Agency: Public Private

Location: On a UNM Campus Within State of New Mexico

Estimated Self Performance (%): 100%
(Based on actual hours through the working foreperson. **Supervisory hours do NOT apply.**)

Project Type: (The project type should correspond to the applicable Contract the proposal is being submitted for: General Construction, MEP, Roofing)

General Construction Mechanical, Electrical, and Plumbing Roofing Painting

Project Scope: (Briefly describe the scope of work and the trades involved. The project scope should correspond to the applicable trade Contract the proposer is submitting for: General Construction, MEP, Roofing)

Provided complete project management to ensure successful project completion, while following all Safety and Campus rules and procedures. Provided continuous clean up of work area.

Demoed existing duct, prep and all associated supports. Prepared for re-install of HVAC

Provided and installed all equipment ductwork, supports and accessories required for proper installation and operation on new HVAC system. Demo existing plumbing and prep for re-install

Provided and installed all plumbing equipment, sewer, venting, water piping, and supports required for proper installation and operation of Plumbing system.

Client Reference for Construction: (It is your responsibility to assure that the contact information listed is correct. If your reference can not be contacted, this project may not be considered.)

Agency's contact: Name Paul Serda Title Project Manager

Telephone: 505-389-6105 Email Address: pserda@primebuildersnm.com

Briefly describe the project: Attached additional page, if necessary.

Appendix I – Comparable Construction Experience Mechanical, Electrical, and Plumbing (MEP) Projects

Applicable to Firms Submitting a Proposal for the Mechanical, Electrical, and Plumbing (MEP) Contract

Proponent's Name: UNMH Main- Pulmonary

Agency / Client Name: UNM Hospital

Project Name: UNMH Pulmonary Lab Renovation

Project Number: BE-20-2292-B Project Value: \$300,000.00

Achieved or Anticipated Final Acceptance after January 1, 2018 Yes No

Company Role: Sub Contractor Prime / JV Contractor

Agency: Public Private

Location: On a UNM Campus Within State of New Mexico

Estimated Self Performance (%): 100%
(Based on actual hours through the working foreperson. **Supervisory hours do NOT apply.**)

Project Type: (The project type should correspond to the applicable Contract the proposal is being submitted for: General Construction, MEP, Roofing)

General Construction Mechanical, Electrical, and Plumbing Roofing Painting

Project Scope: (Briefly describe the scope of work and the trades involved. The project scope should correspond to the applicable trade Contract the proposer is submitting for: General Construction, MEP, Roofing)

Provided complete project management to ensure a successful project completion. Followed all GC safety policies and procedures. Provided continous and Final clean up of work area. Provided and installed all plumbing equipment, Sewer, Venting, Water Piping and supports required for proper installation and operation of Plumbing system. Provided and installed HVAC equipment, ductwork, supports, and accessories required for proper installation and operation on new HVAC system. Provided and installed all Medical Gas equipment, piping, supports and accessories required for proper installation and operation of new Medical Gas system. Demo existing domestic hot and coldwater lines (galvanized), sewer and venting pipe in wall cap above ceiling and below concrete floor to accommodate new construction.

Checked on Asbestos presence in insulation of water pipe. Relocated ductwork for supply and return in ceiling space to accommodate new floor plans.

Client Reference for Construction: (It is your responsibility to assure that the contact information listed is correct. If your reference can not be contacted, this project may not be considered.)

Agency's contact: Name Sara Peterson Title Owner

Telephone: 505-881-1461 Email Address: sweepconstruction@gmail.com

Briefly describe the project: Attached additional page, if necessary.

Appendix I – Comparable Construction Experience Mechanical, Electrical, and Plumbing (MEP) Projects

Applicable to Firms Submitting a Proposal for the Mechanical, Electrical, and Plumbing (MEP) Contract

Proponent's Name: Lovelace UNM Rehab Room Remodel

Agency / Client Name: Lovelace UNM Rehabilitation Hospital, LLC

Project Name: Lovelace UNM Rehab Patient Room Refresh/Remodel

Project Number: 21-024 Project Value: \$149,971.50

Achieved or Anticipated Final Acceptance after January 1, 2018 Yes No

Company Role: Sub Contractor Prime / JV Contractor

Agency: Public Private

Location: On a UNM Campus Within State of New Mexico

Estimated Self Performance (%): 100%
(Based on actual hours through the working foreperson. **Supervisory hours do NOT apply.**)

Project Type: (The project type should correspond to the applicable Contract the proposal is being submitted for: General Construction, MEP, Roofing)

General Construction Mechanical, Electrical, and Plumbing Roofing Painting

Project Scope: (Briefly describe the scope of work and the trades involved. The project scope should correspond to the applicable trade Contract the proposer is submitting for: General Construction, MEP, Roofing)

Provided complete project management to ensure a successful project completion.

Followed all GC safety policies and procedures. Provided continous and Final clean up of work area.

Provided and installed all plumbing equipment, Sewer, Venting, Water piping, and supports required for proper installation and operation of Plumbing sysytems.

Client Reference for Construction: (It is your responsibility to assure that the contact information listed is correct. If your reference can not be contacted, this project may not be considered.)

Agency's contact: Name Paul Serda Title Project Manager

Telephone: 505-389-6105 Email Address: pserda@primebuildersnm.com

Briefly describe the project: Attached additional page, if necessary.

Appendix I – Comparable Construction Experience Mechanical, Electrical, and Plumbing (MEP) Projects

Applicable to Firms Submitting a Proposal for the Mechanical, Electrical, and Plumbing (MEP) Contract

Proponent's Name: UNMH Lamberton
Agency / Client Name: UNM Hospital
Project Name: Lamberton Renovations
Project Number: BE-22-0130-B Project Value: 2,700,000.00

Achieved or Anticipated Final Acceptance after January 1, 2018 Yes No

Company Role: Sub Contractor Prime / JV Contractor
Agency: Public Private
Location: On a UNM Campus Within State of New Mexico

Estimated Self Performance (%): 100%
(Based on actual hours through the working foreperson. **Supervisory hours do NOT apply.**)

Project Type: (The project type should correspond to the applicable Contract the proposal is being submitted for: General Construction, MEP, Roofing)

General Construction Mechanical, Electrical, and Plumbing Roofing Painting

Project Scope: (Briefly describe the scope of work and the trades involved. The project scope should correspond to the applicable trade Contract the proposer is submitting for: General Construction, MEP, Roofing)

Provided complete project management to ensure a successful project completion. Followed all Safety and Campus rules and procedures included Final clean-up of work area. Provided and installed HVAC equipment ductwork, supports and accessories required for proper installation and operation on new HVAC systems. Serviced existing RTU's on roof. Included new filters, service and oil bearings, fans, motors, pulleys etc. Checked refrigeration charge and adjusted as required. included Air Test and Balance. Provided and installed plumbing fixtures, Sewer, Venting, Gas and Water piping, insulation and supports required for proper installation and operation of Plumbing systems. Performed Sewer repairs, re-piping and installed sewer clean outs. Cut asphalt and removed in order expose pipe under trench dirt.

Client Reference for Construction: (It is your responsibility to assure that the contact information listed is correct. If your reference can not be contacted, this project may not be considered.)

Agency's contact: Name Sara Peterson Title Owner
Telephone: 505-881-1461 Email Address: sweepconstruction@gmail.com

Briefly describe the project: Attached additional page, if necessary.

Appendix I – Comparable Construction Experience Mechanical, Electrical, and Plumbing (MEP) Projects

Applicable to Firms Submitting a Proposal for the Mechanical, Electrical, and Plumbing (MEP) Contract

Proponent's Name: UNM HSC-RR Building 1 Room 2008

Agency / Client Name: UNM Hospital

Project Name: UNM HSC-RR Building 1 Room 2008 Remodel

Project Number: SA-21-0411-B Project Value: \$79,210.55

Achieved or Anticipated Final Acceptance after January 1, 2018 Yes No

Company Role: Sub Contractor Prime / JV Contractor

Agency: Public Private

Location: On a UNM Campus Within State of New Mexico

Estimated Self Performance (%): 100%
(Based on actual hours through the working foreperson. **Supervisory hours do NOT apply.**)

Project Type: (The project type should correspond to the applicable Contract the proposal is being submitted for: General Construction, MEP, Roofing)

General Construction Mechanical, Electrical, and Plumbing Roofing Painting

Project Scope: (Briefly describe the scope of work and the trades involved. The project scope should correspond to the applicable trade Contract the proposer is submitting for: General Construction, MEP, Roofing.)

Provide complete project management to ensure a successful project completion. Followed all GC Safety policies and procedures. Provided continuous and final clean up of work area. Provided and installed all medical gas equipment, piping, supports, and accessories required for proper installation and operation of new medical gas system. Ensured proper function of all equipment and materials.

Client Reference for Construction: (It is your responsibility to assure that the contact information listed is correct. If your reference can not be contacted, this project may not be considered.)

Agency's contact: Name Paul Serda Title Project Manager

Telephone: 505-389-6105 Email Address: pserda@primebuildersnm.com

Briefly describe the project: Attached additional page, if necessary.

Appendix K – Indefinite Quantity Contract Experience

General

- 1 Agency Name: Hausermann Mechanical, LLC does not have any indefinite quantity contracts.
2 Contract #: _____

Reference Information

- 3 Reference Name, Position: _____
4 Address: _____
5 City, State Zip Code: _____
6 Phone Number: _____
7 E-mail Address: _____

Contract Time:

- 8 Potential Maximum Time:* _____
9 Award Date: _____
10 Expiration / Termination Date (Or Still Active): _____

Contract Amounts:

- 11 Potential Maximum Amount:** _____
12 Total Amount of Work Issued (\$): _____
13 Total Number of Job Orders Issued (#): _____

Key Personnel

- 14 Name and Position: _____
15 Name and Position: _____
16 Name and Position: _____
17 Name and Position: _____
18 Yes or No, Did Any of the Key Personnel Proposed for the Naperville Contract Work on this Contract? _____
19 If Answer to Above Question is "Yes," and if Those Individuals are NOT Listed as a Key Personnel Above, List the Name and Position Below:

* **Potential Maximum Time** shall mean the the entire possible duration of the Contract. The Potential Maximum Time is calculated by adding together the base term plus all possible option terms.

** **Potential Maximum Amount** shall be the sum of the Potential Maximum for the base term and ALL possible option terms. Expressed as a Dollar Amount.

Appendix L – Price Proposal

University of New Mexico

BID FOR JOB ORDER CONTRACT (PRICE PROPOSAL)

Date of Bid: 10/17/22

New Mexico State Contractor's License No. 383125

Resident Contractor's Preference Certificate No. L0081333168

Contractor's New Mexico Gross Receipts Tax No. 03-247608-00-6

Contractor's Federal Employee Identification No. 45-5605139

Dept. Workforce Solutions Registered Contractors Number 03052720140812

UNM Job Order Contract

Request for Proposals No. RFP-2379-23

Bid (Price Proposal) of (company name): Hausermann Mechanical, LLC
(hereinafter called the "Bidder") organized and existing under the laws of the State of New Mexico, doing business as a Corporation, Partnership or Individual. (Circle correct one).

To: The Regents of The University of New Mexico, Albuquerque, New Mexico
(hereinafter called the "Owner").

The undersigned, as an authorized representative for the Bidder named above, in compliance with the Request For proposals (RFP) for Job Order Contracting services, having examined the Contract Documents, hereby proposes to furnish all labor, materials and supplies, and to construct the project in accordance with the contract documents at the prices stated below. These prices are to cover all expenses incurred in performing the work required under the contract documents, of which this proposal is a part.

Offeror must agree to commence work on a date specified in a written "Notice to Proceed" issued by the Owner. The Offeror must agree to complete the Project within the Job Order Completion Time stipulated date in the "Notice of Proceed". At the sole discretion of the Owner, liquidated damages will be assessed, if at all, on a Job Order-by-Job-Order basis. For each calendar day that the Detailed Scope of Work for a Job Order shall remain incomplete after the Job Order Completion Time, as amended pursuant to this Contract, the amount per calendar will be determined with each Job Order, and that amount will be deducted from any money due the Contractor, not as a penalty but as liquidated damages.

The following information is required for state reporting purposes only, and will not be used in evaluating or awarding the contract.

Is project material offered grown, produced or wholly manufactured in New Mexico? N/A

Business Size / Classification:

Small Business Concern

Large Business Concern

Disadvantaged Business Concern

Women Owned Business Concern

The Contractor shall perform all Work required called for in each individual Job Order issued under this Contract using the Construction Task Catalog[®] and Technical Specifications incorporated herein. Contractor shall perform any or all functions called for in the Contract Documents in the quantities specified in individual Job Orders against this Contract for the Unit Prices specified in the Construction Task Catalog[®] (CTC) multiplied by the Adjustment Factors being proposed.

The Bidder shall set forth Adjustment Factors in clearly legible figures in the respective space provided. Failure to submit Adjustment Factors for all categories may result in the Proposal being deemed non-responsive. **All amounts shall exclude NM Gross Receipts Tax.** The Contractor shall perform the Tasks required by each individual Job Order using the following Adjustment Factors:

The Schedule of Prices is contained in a separate Microsoft Excel document. Complete the Microsoft Excel document and submit as part of this Appendix L. **Be sure to enter Adjustment Factors for each campus and trade being proposed.**

PART 1: SCHEDULE OF PRICES:

Attach Schedule of Prices from the Microsoft Excel document. On the Microsoft Excel document, be sure to enter Adjustment Factors for each campus and trade being proposed.

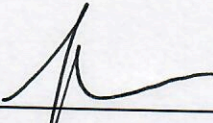
Has the Part 1: Schedule of Prices been attached to this Appendix L: Yes No

PART 2: SIGNATURES

The Bidder understands that the contract(s) will be awarded in accordance with the all terms and conditions contained in this RFP and that the Owner reserves the right to reject any or all bids and to waive any formalities in the bidding.

The Bidder agrees that this response will be good and may not be withdrawn for a period of thirty (30) calendar days after the scheduled closing time for receiving bids.

Respectfully Submitted,

By:(Authorized Signature)  _____ Date: 10/26/2022

By:(Same Name, Printed or Typed) John Hausermann _____

Title: Owner _____

Company: Hausermann Mechanical, LLC _____

Address: 3100 Richmond Dr. NE _____

Zip: 87107 _____

Phone: 505-200-0650 Fax: 505-308-3994 Email: jhausermann@comcast.net

(Affix Corporate Seal if response by Corporation):

Part 1 Schedule of Prices

Attach this schedule of Prices to Appendix L

OFFEROR'S NAME: Hausermann Mechanical, LLC

For the UNM Job Order Contracting Program the Offeror shall complete the cells highlighted grey below. Failure to submit all the Adjustment Factors for the Campus/Contract Type being proposed may result in the bid for that Campus/Contract Type being deemed non-responsive. **The Contractor is to include the administrative fee of 2.98% into their responding adjustment factors.** The Contractor shall perform the Tasks required by each individual Job Order using the following Adjustment Factors:

UNM Job Order Contracting Program		CONTRACT TYPES		
Campus / Region	Adjustment Factor Name	General Construction	Mechanical, Electrical, Plumbing	Roofing
Main Campus (Albuquerque)	Normal Working Hours (60%)		1.2125	
	Other Than Normal Working Hours (30%)		1.2375	
	Non Pre-Priced (10%)		1.1975	
	Award Criteria Figure	0.0000	1.2185	0.0000
Campus / Region	Adjustment Factor Name	General Construction	Mechanical, Electrical, Plumbing	Roofing
Northern New Mexico Branch Campuses	Normal Working Hours (60%)		1.225	
	Other Than Normal Working Hours (30%)		1.2525	
	Non Pre-Priced (10%)		1.2125	
	Award Criteria Figure	0.0000	1.2320	0.0000
Campus / Region	Adjustment Factor Name	General Construction	Mechanical, Electrical, Plumbing	Roofing
Southern New Mexico Branch Campuses	Normal Working Hours (60%)		1.225	
	Other Than Normal Working Hours (30%)		1.2525	
	Non Pre-Priced (10%)		1.2125	
	Award Criteria Figure	0.0000	1.2320	0.0000

NOTES TO OFFERERS

- The Other Than Normal Working Hours Adjustment Factors must be greater than or equal to the Normal Working Hours Adjustment Factors.
- The Non Pre-Priced Adjustment Factor must be greater than or equal to 1.000
- The weighted multipliers above are for the purpose of calculating an Award Criteria Figure only. No assurances are made by the owner that Work will be ordered under the Contract in a distribution consistent with the weighted percentages above. The Award Criteria Figure is only used for the purpose of determining the Bid.
- When submitting Job Order Price Proposals related to specific Job Orders, the Bidder shall utilize one or more of the Adjustment Factors applicable to the Work being Performed.
- Make sure to attach this Part 1: Schedule of Prices to Appendix L in your proposal

By: Authorized Signature: John Hausermann

By: Same Name and title Printed or typed: John Hausermann Owner

Date: 11/16/22

For the UNM Cooperative Purchasing Job Order Contracting Program the Offeror shall complete the cells highlighted grey below. Failure to submit all the Adjustment Factors for the Region/Contract Type being proposed may result in the bid for that Region/Contract Type being deemed non-responsive. A complete map of the regions can be found in the Purpose of this RFP Document. **The Contractor is to include the administrative fee of 7.50% into their responding adjustment factors.** The Contractor shall perform the Tasks required by each individual Job Order using the following Adjustment Factors:

UNM Cooperative Purchasing Job Order Contracting Program		CONTRACT TYPES		
Campus / Region	Adjustment Factor Name	General Construction	Mechanical, Electrical, Plumbing	Roofing
Region #1	Normal Working Hours (60%)		1.225	
	Other Than Normal Working Hours (30%)		1.2525	
	Non Pre-Priced (10%)		1.2125	
	Award Criteria Figure	0.0000	1.2320	0.0000
Campus / Region	Adjustment Factor Name	General Construction	Mechanical, Electrical, Plumbing	Roofing
Region #2	Normal Working Hours (60%)		1.225	
	Other Than Normal Working Hours (30%)		1.2525	
	Non Pre-Priced (10%)		1.2125	
	Award Criteria Figure	0.0000	1.2320	0.0000
Campus / Region	Adjustment Factor Name	General Construction	Mechanical, Electrical, Plumbing	Roofing
Region #3	Normal Working Hours (60%)		1.225	
	Other Than Normal Working Hours (30%)		1.2525	
	Non Pre-Priced (10%)		1.2125	
	Award Criteria Figure	0.0000	1.2320	0.0000
Campus / Region	Adjustment Factor Name	General Construction	Mechanical, Electrical, Plumbing	Roofing
Region #4	Normal Working Hours (60%)		1.225	
	Other Than Normal Working Hours (30%)		1.2525	
	Non Pre-Priced (10%)		1.2125	
	Award Criteria Figure	0.0000	1.2320	0.0000
Campus / Region	Adjustment Factor Name	General Construction	Mechanical, Electrical, Plumbing	Roofing
Region #5	Normal Working Hours (60%)		1.225	
	Other Than Normal Working Hours (30%)		1.2525	
	Non Pre-Priced (10%)		1.2125	
	Award Criteria Figure	0.0000	1.2320	0.0000



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
10/27/2022

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Christian Slayton Insurance Agency INC 8300 CARMEL AVE 301 ALBUQUERQUE NM 87122	CONTACT NAME: Humberto Aguilar														
	PHONE (A/C, NO, EXT): 505-294-2009	FAX (A/C, NO): 505-291-9420													
	E-MAIL ADDRESS: humberto.cslayton@farmersagency.com														
	<table border="1"> <thead> <tr> <th>INSURER(S) AFFORDING COVERAGE</th> <th>NAIC #</th> </tr> </thead> <tbody> <tr> <td>INSURER A: Truck Insurance Exchange</td> <td>21709</td> </tr> <tr> <td>INSURER B: Farmers Insurance Exchange</td> <td>21652</td> </tr> <tr> <td>INSURER C: Mid Century Insurance Company</td> <td>21687</td> </tr> <tr> <td>INSURER D: Fire Insurance Exchange</td> <td>21660</td> </tr> <tr> <td>INSURER E: Hiscox INC</td> <td>10200</td> </tr> <tr> <td>INSURER F:</td> <td></td> </tr> </tbody> </table>		INSURER(S) AFFORDING COVERAGE	NAIC #	INSURER A: Truck Insurance Exchange	21709	INSURER B: Farmers Insurance Exchange	21652	INSURER C: Mid Century Insurance Company	21687	INSURER D: Fire Insurance Exchange	21660	INSURER E: Hiscox INC	10200	INSURER F:
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INSURER F:															

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAME ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDTL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
E	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC OTHER:	Y	N	JDC4445591CGL22	03/24/2022	03/24/2023	EACH OCCURRENCE	\$ 1,000,000
	DAMAGE TO RENTED PREMISES (Ea Occurrence)						\$ 100,000	
	MED EXP (Any one person)						\$ 5,000	
	PERSONAL & ADV INJURY						\$ 1,000,000	
							GENERAL AGGREGATE	\$ 2,000,000
							PRODUCTS - COMP/OP AGG	\$ 2,000,000
								\$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident)	\$
							BODILY INJURY (Per person)	\$
							BODILY INJURY (Per accident)	\$
							PROPERTY DAMAGE (Per accident)	\$
							\$	\$
	<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB DED RETENTION \$						EACH OCCURRENCE	\$
							AGGREGATE	\$
							\$	
D	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY <input type="checkbox"/> ANY PROPRIETOR/PARTNER/ EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	N/A	Y	A15203390	03/20/2022	03/20/2023	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER	\$
							E.L. EACH ACCIDENT	\$ 1,000,000
							E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000
							E.L. DISEASE - POLICY LIMIT	\$ 1,000,000

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
Certificate holder is listed as Additional insured on the named Insured's general liability policy.
Waiver of Subrogation applies in favor of the certificate holder on the workers compensation policy.

CERTIFICATE HOLDER University Of New Mexico-Purchasing Department 700 Lomas Blvd NE #2600 MSC01 1740 Albuquerque, NM 87131-0001	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
---	--

DIVERSITY VENDOR CERTIFICATION PARTICIPATION

Diversity Vendor Certification Participation - It is the policy of some Members participating in Omnia Partners to involve minority and women business enterprises (M/WBE), small and/or disadvantaged business enterprises, disabled veterans business enterprises, historically utilized businesses (HUB) and other diversity recognized businesses in the purchase of goods and services. Respondents shall indicate below whether or not they hold certification in any of the classified areas and include proof of such certification with their response.

1. Minority Women Business Enterprise

Respondent certifies that this firm is an MWBE Yes No

List certifying agency: _____

2. Small Business Enterprise (SBE) or Disadvantaged Business Enterprise (DBE)

Respondent certifies that this firm is a SBE or DBE Yes No

List certifying agency: _____

3. Disabled Veterans Business Enterprise (DVBE)

Respondent certifies that this firm is an DVBE Yes No

List certifying agency: _____

4. Historically Underutilized Businesses (HUB)

Respondent certifies that this firm is an HUB Yes No

List certifying agency: _____

5. Historically Underutilized Business Zone Enterprise (HUBZone)

Respondent certifies that this firm is an HUBZone Yes No

List certifying agency: _____

6. Other

Respondent certifies that this firm is a recognized diversity certificate holder Yes No

List certifying agency: _____

Hausermann Mechanical LLC

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Quality Assurance & Management

November 2022

The purpose of this document is to describe the components and requirements of Hausermann Mechanical LLC as applied the quality management of Projects.

DETAILS:

The Hausermann Mechanical LLC definition of quality is a departmental offering (product or service) with characteristics that consistently:

1. meet or exceed client requirements;
2. comply with legislative/regulatory/policy requirements; and
3. Meet established standards.

Hausermann Mechanical LLC's Quality Management (QM) Framework consists of seven interrelated elements that are required to become a quality driven organization. They are as follows:

- Leadership
- Planning
- Client-focus
- People Focus
- Partner / Supplier Focus
- Process Based
- Organizational Performance

This quality management lens has been integrated into the Quality Management Knowledge Area as well as the other areas. It is applied to all processes, products and services. Quality management is the vehicle through which the department ensures successful repeatability in project delivery and continuous improvement of its project management framework, policies, objectives, standards, responsibilities, practices and procedures. Quality management also

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allows organizations to reinforce a corporate culture of service quality, so that their employees, suppliers and partners will endeavor to achieve and maintain high client satisfaction and to implement a philosophy of continuous improvement.

"Quality Management is the identification of the Quality Assurance and Control process to ensure that the end product of the project will satisfy the need for which it was intended." At a project level, the quality management process involves the development and implementation of policies, objectives, standards, responsibilities and procedures to ensure that the products and deliverables produced by the project meet quality standards. Quality management applies to both project deliverables and project work processes. Plan Quality is the process of identifying quality requirements and / or standards for the project and product, and demonstrating how the project will demonstrate compliance.

Perform Quality Assurance is the process of auditing the quality requirements and the results from quality control measurements to ensure quality standards and operational definitions are used.

Perform Quality Control is the process of monitoring and recording the results of executing the quality activities to assess performance and recommend necessary changes.

Objectives

The purpose of the Project Quality Management process is to ensure that:

- quality planning activities are conducted and result in the required metrics, tools, standards and processes that will be used by the project to attain its quality objectives;
- products are built to agreed-upon standards and requirements;
- products and processes are built in accordance with all relevant client, project, product development, and branch, departmental, GC governance review and approval gating criteria;
- work processes are performed efficiently and are documented;
- non-conformances are identified and appropriate corrective action is taken;
- necessary quality documentation is prepared and retained as part of the project record.

Inception Stage

Quality management during the Inception and Identification Stages means ensuring the quality of the Identification Stage processes and deliverables.

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Definition Phase

The project conducts a preliminary assessment and documents a business need or opportunity in the form of a Statement of Requirements (SoR) document. A high-level statement regarding quality requirements may be included.

Identification Stage

Initiation Phase

Provide a high-level preliminary quality statement in the Preliminary Project Plan (PPP) along with a set of proposed milestones and deliverables. During Initiation, projects define the business requirements and may document a high-level target business vision that incorporates quality. The target business vision and the business requirements are the framework upon which quality requirements are built.

Feasibility Phase

Projects may conduct an environmental scan to review available technical solutions to the business problem and to assist in the feasibility assessment process. The Feasibility Report identifies all of the viable options and potential high-level activities related to each option. These will be subject to further analysis. A Conceptual Architecture Solution document is produced during the Feasibility Phase. A Concept of Operations document is either produced or an existing document is refined to reflect how a solution may operate once implemented. These documents support the identification and definition of quality criteria ultimately incorporated within scope definition.

Analysis Phase

During the Analysis Phase the objective is to produce a Business Case which includes a recommended technical solution and a Project Charter which will contain a high-level quality statement. This forms the basis upon which further quality detailing occurs. Quality criteria are also normally detailed in the Evaluation Criteria which will be used in the procurement process and in the Migration Strategy and Testing Strategy documents that support the technical solution.

Identification Close-out Phase

The purpose of the Identification Close-out Phase is to ensure an appropriate level of assessment, reporting, evaluation, handover and administrative closure has taken place. A

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formal close out provides enough directional detail for the (delivery organization) Project Manager to seamlessly proceed to the Delivery Stage.

In light of the Preliminary Project Approval (PPA) decision, obtained in the Analysis Phase, the project team ensures that the document records prepared in this phase include high-level quality requirements.

Planning Phase

Subsequent to the PPA decision, the quality planning process is repeated in the Planning Phase. Quality planning in the Delivery Stage must include quality procedures, metrics and tools to ensure the quality of both project management and product deliverables and processes required to produce and implement the recommended solution.

The project team uses the following inputs to define its Quality Management Plan processes, metrics and tools:

- Scope Baseline;
- Cost Performance Baseline;
- Schedule Baseline;
- Risk Register; and
- Other tools, processes and information existing within the organization.

The Quality Management Plan results from the quality planning process. It is typically captured in the Project Management Plan. Associated components of the plan include quality assurance, quality control and continuous improvement approaches, such as quality metrics, quality checklists and process improvement plans.

Design Phase

During the Design Phase the Logical Architecture document is further updated to reflect the physical architecture design with quality components. A migration plan is produced to outline how existing elements will be incorporated into the enterprise architecture as final products and processes. As these products are produced and then updated, it is essential to conduct both scope verification and control activities.

Scope verification differs from quality control in that scope verification is primarily concerned with acceptance of the design as it relates to scope while quality control is

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primarily concerned with meeting the quality requirements specified for the deliverables. Quality Control is generally performed before scope verification, but these two activities can be performed in parallel.

Implementation Phase

Perform Quality Assurance

Quality assurance activities create standards and measurement tools. The project team then monitors and verifies that the processes used to manage and create the deliverables are followed and are effective.

Internal quality control activities are exercised via:

- the Project Steering Committee, as it reviews the effectiveness of project management and product development practices and processes;
- the Project Management Team, through its regular scheduled meetings and discussions and through the implementation of the PMP;
- the project's creation of effective quality measurement standards, deliverables and tools such as:
 - Quality Standards
 - Quality Metrics
 - Quality Checklists
 - System Testing Strategy
 - System Testing Plan
 - User Acceptance Testing Plan
 - Requirements Traceability Matrix
 - Acceptance Criteria

Conduct Process Improvement Activities

Projects continually improve the effectiveness of their quality management process through the management of quality non-compliance, implementation of lessons learned, analysis of performance data, and management review.

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The continuous improvement team is responsible for managing improvements.

Perform Quality Control

Quality control executes and implements quality measures and collects data. Activities monitor and verify that project deliverables meet defined quality standards. The following is a sampling of quality control activities:

- Peer Reviews
- Design Reviews
- Technical Reviews
- Control of Design and Changes
- Product Testing

Performance Reporting

Effective performance monitoring is a key to the Quality Management Process within projects. Reporting provides information on how well the project is working and provides feedback on the degree to which project management, technical and product development teams and deliverables meet the defined standards to enable continuous improvement.

Performance reporting also supports internal project quality control processes. Monitoring is done through the project governance structure which includes the sponsor, the Project Steering Committee and an independent review function via the Monthly Project Delivery Management process. Monitoring and control processes ensure quality services and solutions and enhance the consistent management and delivery.

Manage Quality Non-Compliance

Documented processes, plans and standards define how project activities should be performed. Project non-compliance is the degree to which the actual performance of project activities deviates from how they should be performed.

The quality non-compliance management process involves:

- identifying, analyzing and documenting non-compliance;
- defining corrective treatments;

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- referring change requests to the project's Change Management Process;
- authorizing and monitoring corrective actions; and
- Closing non-compliance.

The quality non-compliance management process applies to project management and product development deliverables, processes, activities and to project governance structures.

Manage Project Lessons Learned

Lessons learned are the knowledge gained from the positive and negative experiences encountered during the execution of a project. The objective of capturing lessons learned is to support a knowledge base of project management information. This experience-based information is used to continuously improve project management processes by ensuring that positive project experiences are repeated and negative project experiences are avoided.

Managing lessons learned is not a static process. Lessons learned are captured at the end of the Identification and Delivery Stages and also collected formatively during the Analysis, Planning and Design Phases to support internal project improvement. The lessons learned collected at project close out are used to improve the delivery of the enterprise project portfolio. The lessons learned management process includes:

- identifying and documenting lessons learned;
- compiling and analyzing lessons learned;
- reporting and sharing lessons learned;
- implementing lessons learned (individual projects and corporate methodologies); and
- Monitoring the implementation of lessons learned corrective actions.

Final lessons learned are collected at the end of the Identification Stage Close Out. They are collected formatively during the Project Delivery Stage Planning, Design and Implementation Phases. During Project Close Out, a separate Lessons Learned Report is prepared for larger projects; for smaller projects, project lessons learned are included as a component of the Project Close-out Document.

The lessons learned process is an essential component of the Continuous Improvement Process. In addition to being a key element of good quality management, it also allows the NPMS continuous improvement teams and the monthly project delivery management teams the opportunity to evaluate and learn from the many activities that took place throughout the project lifecycle and to propagate these lessons learned in similar projects.

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Delivery Close-out Phase

Once the project is complete, the project team prepares the Project Close-out Document, and the lessons learned report. It also conducts the administrative and contract Close Out activities, documenting the process thoroughly. The degree to which the project met its quality assurance and quality control standards and followed its quality management are thoroughly described in both documents. Approved changes to these processes that were implemented also need to be documented. Note: In a majority of projects, lessons learned are incorporated into the Project Close-out Document.

The Project Close-out Process is used to tie up loose ends at the end of the project, such as transferring unresolved issues and action items appropriately. The operational enterprise Change Advisory Board also conducts a post-implementation review to tie up loose ends from an operational change management perspective. For the same reason, the business line owner conducts a post implementation review to capture lessons learned and to begin the benefits realization assessment.

DEFINITIONS:

Key Performance Indicator "On Time"

The development/delivery status of the project is in accordance with the approved schedule.

Key Performance Indicator "On Budget"

The current financial status of the project and estimated budget to completion is in accordance with the approved budget objective.

Key Performance Indicator "On Scope"

The current scope of the project is in accordance with the approved scope objective.

Key Performance Indicator "Overall Status"

The Project is in accordance with the approved objectives for each of the On Time, On Budget and On Scope indicators.

ROLES AND RESPONSIBILITIES:

All parties responsible for developing Quality Management Plans are strongly encouraged to consult with other Project Leaders/Managers and Senior Project Managers when developing the Quality Management Plan. It is also recommended that Project Managers and Quality Managers seek advice from technical experts and other SMEs within PWGSC when producing/updating quality plans.

Project Lead (Business Side)

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The Project Lead (Business Side) is responsible for the following quality management activities:

- ensuring that a quality management process is implemented and respected;
- ensuring that quality assurance & quality control processes are implemented by the project and technical teams;
- monitoring quality measurement activities from a business perspective;
- signing off on product and project management deliverables in accordance with the quality requirements of the business,
- respecting the project quality management processes;
- actively participating in quality management activities;
- endorsing decisions to improve existing processes; and
- Reporting quality management lessons learned.

Quality Manager

Note: A specialized dedicated resource may be required to fill this role on larger projects. On smaller projects this role is filled by the (delivery) Project Manager.

The Quality Manager is responsible for the overall quality management on the project. Specifically, the Quality Manager is responsible for the following quality management activities:

- Ensuring that a Risk Management Plan is created, maintained and implemented as documented, using the Risk Log created during the Initiation Stage and updated during the Identification Stage as the basis for the Risk Management Plan;
- defining and establishing the project's quality objectives and standards;
- preparing and maintaining the quality management plan;
- implementing the quality management processes;
- ensuring that all project personnel are following the established best practices for the project;
- establishing and maintaining the quality management records/logs/files;
- reviewing deliverables for compliance with requirements/standards;

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- establishing and maintaining the continuous quality review process and ensuring that all non-compliance issues are tracked in accordance with the approved process;
- attending project management meetings; and
- Participating in quality management audits/project reviews and tracking action items.

Project Manager

The project manager is responsible for the following quality management activities:

- ensuring that a client-focus is maintained by the project team;
- ensuring that quality management best practices are integrated into all processes and deliverables;
- providing resources to quality management activities (personnel, tools);
- ensuring that all personnel follow the established quality management processes;
- reviewing quality management audit reports and taking corrective action, where required;
- ensuring quality management activities and processes are included in the project plan and schedule and monitoring for deviations from planned activities;
- resolving project quality non-conformance issues;
- reviewing and sharing project lessons learned reports and implementing applicable recommendations; and
- Reporting on quality management.

Project Management Team

The project management team (Project Manager, Technical Manager, Business Manager, others) is responsible for the following quality management activities:

- maintaining a client-focus;
- reviewing and approving project quality management plan and processes;
- reviewing quality control reports and taking corrective actions, when required;
- reviewing quality management audit reports and taking corrective actions, where required;

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- reporting on ways to improve present processes; and
- Reporting on quality management lessons learned.

Project Team

The project team is responsible for the following quality management activities:

- maintaining a client-focus;
- following the project quality management plan and processes;
- supporting approved testing programs;
- reporting on ways to improve existing processes;
- reporting quality management lessons learned;
- reporting quality non-compliance issues; and
- Conducting quality control activities and collecting metrics when required.
- respecting the project quality management processes;
- actively participating in quality management activities;
- reporting on ways to improve existing processes;
- reporting quality management lessons learned; and
- Signing off on product quality.

Customer Satisfaction

Understanding, evaluating, defining and managing expectations so that customer requirements are met.

Prevention over Inspection

Quality is planned designed and built in – not inspected in. The cost of preventing mistakes is generally much less than the cost of correcting them.

Management Responsibility

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Success requires the participation of all members of the project team, but remains the responsibility of management to provide the resources needed to succeed.

Peer Reviews

Whenever possible, the documents developed during the project will be reviewed by a peer. A list of deliverables to be produced along with the OPI and the reviewer(s) will be maintained.

Design Review

The Project Manager schedules design reviews in order to evaluate the ability of the design to fulfill requirements, to identify any problems and propose corrective actions. Participants in such reviews include the project team as well as business and functional representatives concerned with the design stage(s) being reviewed. The results of the reviews and any necessary actions will be maintained as part of the project record.

Technical Reviews

Before going to EPA, the project manager and technical manager review and approve the technical design and solution documentation internally. Normally SMEs from within the project and operational in-service organizations participate in the review. Internal testing and/or quality review ensure that mandatory requirements are met before the technical review can take place.

Control of Design and Development Changes

Design and development changes will follow this project's Change Management Process, which is documented in the Integration Management Knowledge Area. The purpose of change management is to control changes to the established project baselines.

Hausermann Mechanical LLC
Safety Program

Prepared by:
Hausermann Mechanical LLC
in association with:
U.S. Compliance Systems, Inc.

This Safety Program is current as of: April 01, 2019

Disclaimer: This Safety Program has been prepared exclusively for:

Hausermann Mechanical LLC

3832 Inca St NE

Albuquerque, NM 87111-3620

505-459-5730

To the best of our knowledge, the information contained herein is accurate.

U.S. Compliance Systems, Inc. accepts no responsibility for errors or omissions.

Safety Director

Our safety director has overall responsibility for the implementation of our program. The safety director will ensure each employee has appropriate safety training for the tasks to be performed. Additionally, the safety director will perform a hazard assessment of our job sites to determine if hazards are present, or are likely to be present, which will necessitate the use of personal protective equipment (PPE).

Identified hazards which cannot be eliminated through engineering controls or changes in procedures will be addressed by the use of selected PPE.

Our Safety Director is: John Hausermann

While the responsibilities of the Safety Director cannot be further delegated, most of the duties can to those who are competent persons by virtue of training or experience.

Safety Program Administrator

The safety program administrator, deemed competent by the safety director, may be assigned to perform the below duties:

- a. The actual training of personnel.
- b. Maintenance of training records.
- c. Random inspections to verify adherence to safety rules and policies.
- d. Completion of specific tasks identified within our OSHA compliance programs.
- e. Hazard assessments.

Note: The safety director and program administrator may or may not be the same person.

Our Safety Program Administrator is: Nicholas Hausermann

Safety and Health Policy Statement

It is our policy to provide a work environment that is inherently safe. The safety and health of our employees is of primary importance as they are our most important resource. Safety takes a commitment from all personnel within our organization.

We have developed a comprehensive safety program that addresses our specific safety concerns and provides guidance for the performance of our individual job tasks within the framework of appropriate Occupational Safety & Health Administration (OSHA) standards.

All employees will receive interactive safety training using the information contained in this safety program. For this training we may have safety meetings, on-the-job training, on-line courses, formal instruction, and/or any other relevant methods needed.

Safety training needs will be identified by continual reassessment of our work methods, equipment, and work stations; as well as employee and management input.

Frequent and regular job site inspections will be conducted by supervisory personnel and/or other competent persons. Employees in violation of our established safety procedures will be subject to our disciplinary procedures. Observation of unsafe acts will be addressed immediately.

On every job site there will be a competent person, by virtue of training or experience, who will have the authority to stop work. Additionally, all employees have stop work authority for their immediate task if they are aware of a safety hazard that cannot be immediately corrected. If an employee stops work for an unresolved safety hazard, the supervisor will be contacted immediately.

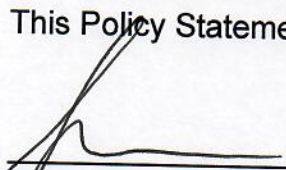
Equipment operator/owner manuals will be readily available and the safety procedures contained therein will be followed. Equipment will be inspected prior to use and, if defective, tagged out of service. Manufacturer's warning labels on all equipment will not be removed, painted over or defaced.

Emergency medical response will be available on every job site either by an emergency rescue service within reasonable distance, by time, or an assigned emergency responder.

Safety requires not only that each person understand and perform individual tasks in a safe manner, but also that each individual is aware of his surroundings and is actively involved in the safety of others.

Each Employee is encouraged to contact their supervisor immediately should a safety or health risk exist so that corrective action may be taken immediately.

This Policy Statement will be conspicuously posted.



John Hausermann
Safety Director

Safety Program Overview

This comprehensive safety & health training program has been developed to address our specific safety concerns and to provide guidance for the performance of individual job tasks within the framework of appropriate Occupational Safety & Health Administration (OSHA) standards.

Safety demands a commitment from all personnel within our organization. As a contractor, we have an obligation to ensure that all our employees are afforded the protection of an appropriate safety & health program.

This program contains policies and procedures to deal with common job site place hazards, specific job related hazards, and potential hazards that may arise.

Hazard assessment, project pre-planning, and engineering controls, where feasible, will be the preferred method of providing a safe job site. Hazards that remain will be minimized or eliminated through training which provides our employees the ability to recognize job site hazards and understand the proper procedural and/or personal protective equipment requirements.

Each employee is encouraged to contact their supervisor immediately should a safety or health risk exist so that corrective action may be taken to eliminate the hazard entirely or deal with the hazard in a safe manner through modified work procedures, PPE, and/or other appropriate action.

On all job sites, at least one person will be designated a **“competent person”** by virtue of experience or training. This person will have the ability to identify work related hazards, know the corrective procedures, and have the responsibility, ability and authority to stop work if the job site cannot be made safe.

The Safety Director or a designated competent person will make routine and random job site inspections to both identify new hazards and to monitor the effectiveness of our safety & health program.

In the final analysis, the success of our safety effort depends on all employees from senior management to the newest hire demonstrating a commitment to safety by working in a safe manner. Safe job performance is how our safety effort is ultimately measured.

Hausermann Mechanical, LLC.
Lic. # 383125
Recycling Approach



At Hausermann Mechanical, LLC our approach to recycling consists of waste management services while on the job. While ensuring proper separation and disposal in order to protect the environment.

Typical items to be recycled (Include but not limited to):

- Cardboard boxes
- Motors
- Coils
- Sheet metal
- Compressors
- Brass fittings
- Copper tubing
- Furnaces
- Metal duct work, such as in the return air
- Swamp Coolers
- RTU

STATE OF NEW MEXICO

TAXATION AND REVENUE DEPARTMENT

RESIDENT CONTRACTOR CERTIFICATE

Issued to: HAUSERMANN MECHANICAL, LLC

DBA: HAUSERMANN MECHANICAL, LLC
3832 INCA ST NE
ALBUQUERQUE, NM 87111-3620

Expires: **12-Jan-2025**

Certificate Number:

L0081333168



Stephanie Schardin Clarke
Cabinet Secretary

THIS CERTIFICATE IS NOT TRANSFERABLE

EXHIBIT B

SMALL AND SMALL DISADVANTAGED BUSINESS CERTIFICATION

The University of New Mexico participates in the Government's Small and Small Disadvantaged Business programs. This requires written certification from our suppliers and contractors as to their business status. Please furnish the information requested below.

1.0 Small Business – An enterprise independently owned and operated, not dominant in its field and meets employment and/or sales standards developed by the Small Business Administration. See 13 CFR 121.201

1.a Small Disadvantaged Business – a Small Business Concern owned and controlled by socially and economically disadvantaged individuals; and

- (1) Which is at least 51% owned by one or more socially and economically disadvantaged individuals; or in the case of any publicly owned business, at least 51% of the stock of which is owned by one or more socially and economically disadvantaged individuals and
- (2) Whose management of daily operations is controlled by one or more such individuals. The contractor shall presume Black Americans, Hispanic Americans, Native Americans (such as American Indians, Eskimos, Aleuts and Native Hawaiians), Asian-Pacific Americans and other minorities or any other individual found to be disadvantaged by the Administration pursuant to Section 8 (a) of the Small Business Act and
- (3) Is certified by the SBA as a Small Disadvantaged Business.

1.b Women-Owned Business Concern – A business that is at least 51% owned by a woman or women who also control and operate it. Control in this context means exercising the power to make policy decisions. Operate in this context means being actively involved in the day-to-day management.

1.c HUBZone Small Business Concern – A business that is located in historically underutilized business zones, in an effort to increase employment opportunities, investment and economic development in those areas as determined by the Small Business Administration's (SBA) List of Qualified HUBZone Small Business Concerns.

1.d Veteran-Owned Small Business Concern – A business that is at least 51% owned by one or more veterans; or in the case of any publicly owned business, at least 51% of the stock of which is owned and controlled by one or more veterans and the management and daily business operations of which are controlled by one or more veterans.

1.e Service Disabled Veteran-Owned Small Business – A business that is at least 51% owned by one or more service disabled veterans; or in the case of any publicly owned business, at least 51% of the stock of which is owned and controlled by one or more service disabled veterans and the management and daily business operations of which are controlled by one or more service disabled veterans. Service disabled veteran means a veteran as defined in 38 U.S.C. 101(2) with a disability that is service connected as defined in 13 U.S.C. 101(16).

Company Name: **Hausermann Mechanical, LLC**

Telephone: **505-200-0650**

Street Address: **3100 Richmond Dr. NE**

County: **Bernalillo**

City: **Albuquerque**

State & Zip: **New Mexico 87107**

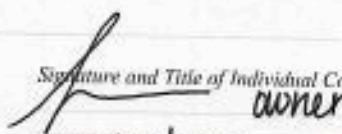
Is this firm a (please check): Division Subsidiary Affiliated? Primary NAICS Code: **238222**

If an item above is checked, please provide the name and address of the Parent Company below:

Check All Categories That Apply:

- 1. Small Business
- 2. Small Disadvantaged Business (Must be SBA Certified)
- 3. Woman Owned Small Business
- 4. HUBZone Small Business Concern (Must be SBA Certified)
- 5. Veteran Owned Small Business
- 6. Disabled Veteran Owned Small Business
- 7. Historically Black College/University or Minority Institution
- 8. Large Business

Signature and Title of Individual Completing Form:


owner

Date **10/27/2022**

Please return this form to:

The University of New Mexico
Purchasing Department
MSC01 1240
Albuquerque, NM 87131
505-277-2036 (voice)
505-277-7774 (fax)

NOTE:

This certification is valid for a one year period. It is your responsibility to notify us if your size or ownership status changes during this period. After one year, you are required to re-certify with us.

THANK YOU FOR YOUR COOPERATION

Notice: In accordance with U.S.C. 645(d), any person who misrepresents a firm's proper size classification shall (1) be punished by imposition of a fine, imprisonment, or both; (2) be subject to administrative remedies; and (3) be ineligible for participation in programs conducted under the authority of the Small Business Act.

If you have difficulty determining your size status, you may contact the Small Business Administration at 1-800-U-ASK-SBA or 202-205-6618. You may also access the SBA website at www.sba.gov/size or you may contact the SBA Government Contracting Office at 817-684-5301. (Rev. 6/2002)

**RESIDENT/NATIVE AMERICAN VETERANS RESIDENT PREFERENCE
CERTIFICATION**

Hausermann Mechanical, LLC (NAME OF CONTRACTOR) hereby certifies the following in regard to application of the resident veterans' preference to this procurement:

Please check one box only

I declare under penalty of perjury that my business prior year revenue starting January 1 ending December 31 is less than \$6M allowing me the 10% preference discount on this solicitation. I understand that knowingly giving false or misleading information about this fact constitutes a crime.

I agree to submit a report, or reports, to the State Purchasing Division of the General Services Department declaring under penalty of perjury that during the last calendar year starting January 1 and ending on December 31, the following to be true and accurate:

In conjunction with this procurement and the requirements of this business' application for a Resident Veteran Business Preference /Native American resident veteran Contractor Preference under section 13-1-21 or 13-1-22 NMSA 1978, when awarded a contract which was on the basis of having such veteran's preference, I agree to report to the State Purchasing division of the General Services Department the awarded amount involved. I will indicate in the report the award amount as a purchase from a public body or as a public works contract from a public body as the case may be.

I understand that knowingly giving false or misleading information on this report constitutes a crime.

I declare under penalty of perjury that this statement is true to the best of my knowledge. I understand that giving false or misleading statements about material fact regarding this matter constitutes a crime.

(Signature of Business Representative) *

10/27/22
(Date)

*Must be an authorized signatory for the Business.

The representations made in checking the boxes constitutes a material representation by the Business that is subject to protest and may result in denial of an award or unaward of the procurement involved if the statements are proven incorrect.